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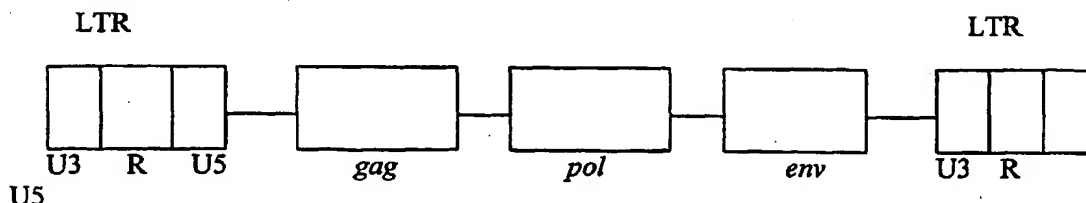
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(54) Title: RETROVIRAL VECTORS PSEUDOTYPED WITH INFLUENZA VIRUS HEMAGGLUTININ FOR GENE DELIVERY



(57) Abstract: A retroviral delivery system capable of transducing a target site is described. The retroviral delivery system comprises a first nucleotide sequence coding for at least a part of an envelope protein; a second nucleotide sequence coding for at least a part of an envelope protein and one or more other nucleotide sequences derivable from a retrovirus that ensure transduction of the target site by the retroviral delivery system; wherein the first and second nucleotide sequences are heterologous with respect to at least one of the other nucleotide sequences; and wherein the first nucleotide sequence codes for at least a part of an influenza HA protein or a mutant, variant, derivative or fragment thereof that is capable of recognising the target site. Preferably said second protein is an influenza M2 protein.

RETROVIRAL VECTORS PSEUDOTYPED WITH INFLUENZA VIRUS HEMAGGLUTININ FOR  
GENE DELIVERY

The present invention relates to a delivery system. In particular, the present invention relates to a retroviral vector capable of delivering a nucleotide sequence of interest (hereinafter abbreviated to "NOI") - or even a plurality of NOIs - to a site of interest.

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In particular, the present invention relates to a retroviral delivery system in which the viral vector has been pseudotyped with influenza HA alone or in combination with additional influenza proteins.

- 10 The present invention also relates to a retroviral vector useful in gene therapy and, suitably, gene therapy of polarised cells.

**BACKGROUND TO THE INVENTION**

- 15 Gene therapy includes any one or more of: the addition, the replacement, the deletion, the supplementation, the manipulation etc. of one or more nucleotide sequences in, for example, one or more targetted sites - such as targetted cells. If the targetted sites are targetted cells, then the cells may be part of a tissue or an organ. General teachings on gene therapy may be found in Molecular Biology (Ed Robert Meyers, Pub VCH, such as  
20 pages 556-558).

- By way of further example, gene therapy also provides a means by which any one or more of: a nucleotide sequence, such as a gene, can be applied to replace or supplement a defective gene; a pathogenic gene or gene product can be eliminated; a  
25 new gene can be added in order, for example, to create a more favourable phenotype; cells can be manipulated at the molecular level to treat cancer (Schmidt-Wolf and Schmidt-Wolf, 1994, Annals of Hematology 69;273-279) or other conditions - such as immune, cardiovascular, neurological, inflammatory or infectious disorders; antigens can be manipulated and/or introduced to elicit an immune response - such as genetic  
30 vaccination.

In recent years, retroviruses have been proposed for use in gene therapy. Essentially, retroviruses are RNA viruses with a life cycle different to that of lytic viruses. In this regard, when a retrovirus infects a cell, its genome is converted to a DNA form. In otherwords, a retrovirus is an infectious entity that replicates through a DNA intermediate. More details on retroviral infection etc. are presented later on.

There are many retroviruses and examples include: murine leukemia virus (MLV), human immunodeficiency virus (HIV), equine infectious anaemia virus (EIAV), mouse mammary tumour virus (MMTV), Rous sarcoma virus (RSV), Fujinami sarcoma virus (FuSV), Moloney murine leukemia virus (Mo-MLV), FBR murine osteosarcoma virus (FBR MSV), Moloney murine sarcoma virus (Mo-MSV), Abelson murine leukemia virus (A-MLV), Avian myelocytomatosis virus-29 (MC29), and Avian erythroblastosis virus (AEV).

A detailed list of retroviruses may be found in Coffin *et al* ("Retroviruses" 1997 Cold Spring Harbour Laboratory Press Eds: JM Coffin, SM Hughes, HE Varmus pp 758-763).

Details on the genomic structure of some retroviruses may be found in the art. By way of example, details on HIV may be found from the NCBI Genbank (i.e. Genome Accession No. AF033819).

All retroviruses contain three major coding domains, *gag*, *pol*, *env*, which code for essential virion proteins. Nevertheless, retroviruses may be broadly divided into two categories: namely, "simple" and "complex". These categories are distinguishable by the organisation of their genomes. Simple retroviruses usually carry only this elementary information. In contrast, complex retroviruses also code for additional regulatory proteins derived from multiple spliced messages.

Retroviruses may even be further divided into seven groups. Five of these groups represent retroviruses with oncogenic potential. The remaining two groups are the lentiviruses and the spumaviruses. A review of these retroviruses is presented in

"Retroviruses" (1997 Cold Spring Harbour Laboratory Press Eds: JM Coffin, SM Hughes, HE Varmus pp 1-25).

5 All oncogenic members except the human T-cell leukemia virus-bovine leukemia virus (HTLV-BLV) are simple retroviruses. HTLV, BLV and the lentiviruses and spumaviruses are complex. Some of the best studied oncogenic retroviruses are Rous sarcoma virus (RSV), mouse mammary tumour virus (MMTV) and murine leukemia virus (MLV) and the human T-cell leukemia virus (HTLV).

10 The lentivirus group can be split even further into "primate" and "non-primate". Examples of primate lentiviruses include the human immunodeficiency virus (HIV), the causative agent of human auto-immunodeficiency syndrome (AIDS), and the simian immunodeficiency virus (SIV). The non-primate lentiviral group includes the prototype "slow virus" visna/maedi virus (VMV), as well as the related caprine  
15 arthritis-encephalitis virus (CAEV), equine infectious anaemia virus (EIAV) and the more recently described feline immunodeficiency virus (FIV), bovine immunodeficiency virus (BIV) and Jembrane disease virus (JDV).

20 A critical distinction between the lentivirus family and other types of retroviruses is that lentiviruses have the capability to infect both dividing and non-dividing cells (Lewis *et al* 1992 EMBO. J 11; 3053-3058, Lewis and Emerman 1994 J. Virol. 68: 510-516). In contrast, other retroviruses - such as MLV - are unable to infect non-dividing cells such as those that make up, for example, muscle, brain, retina, lung, skin and liver tissue including epithelial cells.

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During the process of infection, a retrovirus initially attaches to a specific cell surface receptor. On entry into the susceptible host cell, the retroviral RNA genome is then copied to DNA by the virally encoded reverse transcriptase which is carried inside the parent virus. This DNA is transported to the host cell nucleus where it subsequently  
30 integrates into the host genome. At this stage, it is typically referred to as the provirus. The provirus is stable in the host chromosome during cell division and is transcribed like other cellular proteins. The provirus encodes the proteins and packaging



machinery required to make more virus, which can leave the cell by a process sometimes called "budding".

As already indicated, each retroviral genome comprises genes called *gag*, *pol* and *env* which code for virion proteins and enzymes. These genes are flanked at both ends by regions called long terminal repeats (LTRs). The LTRs are responsible for proviral integration, and transcription. They also serve as enhancer-promoter sequences. In other words, the LTRs can control the expression of the viral gene. Encapsidation of the retroviral RNAs occurs by virtue of a *psi* sequence located at the 5' end of the viral genome.

The LTRs themselves are identical sequences that can be divided into three elements, which are called U3, R and U5. U3 is derived from the sequence unique to the 3' end of the RNA. R is derived from a sequence repeated at both ends of the RNA and U5 is derived from the sequence unique to the 5' end of the RNA. The sizes of the three elements can vary considerably among different retroviruses.

For ease of understanding, a simple, generic diagram (not to scale) of a retroviral genome showing the elementary features of the LTRs, *gag*, *pol* and *env* is presented in Figure 1.

For the viral genome, the site of transcription initiation is at the boundary between U3 and R in the left hand side LTR (as shown in Figure 1) and the site of poly (A) addition (termination) is at the boundary between R and U5 in the right hand side LTR (as shown in Figure 1). U3 contains most of the transcriptional control elements of the provirus, which include the promoter and multiple enhancer sequences responsive to cellular and in some cases, viral transcriptional activator proteins. Some retroviruses have any one or more of the following genes that code for proteins that are involved in the regulation of gene expression: *tat*, *rev*, *tax* and *rex*.

With regard to the structural genes *gag*, *pol* and *env* themselves, *gag* encodes the internal structural protein of the virus. Gag is proteolytically processed into the mature

proteins MA (matrix), CA (capsid), NC (nucleocapsid). The gene *pol* encodes the reverse transcriptase (RT), which contains both DNA polymerase, and associated RNase H activities and integrase (IN), which mediates replication of the genome. The gene *env* encodes the surface (SU) glycoprotein and the transmembrane (TM) protein of the virion, which form a complex that interacts specifically with cellular receptor proteins. This interaction leads ultimately to fusion of the viral membrane with the cell membrane.

The envelope glycoprotein complex of retroviruses includes two polypeptides: an external, glycosylated hydrophilic polypeptide (SU) and a membrane-spanning protein (TM). Together, these form an oligomeric "knob" or "knobbed spike" on the surface of a virion. Both polypeptides are encoded by the *env* gene and are synthesised in the form of a polyprotein precursor that is proteolytically cleaved during its transport to the cell surface. Although uncleaved Env proteins are able to bind to the receptor, the cleavage event itself is necessary to activate the fusion potential of the protein, which is necessary for entry of the virus into the host cell. Typically, both SU and TM proteins are glycosylated at multiple sites. However, in some viruses, exemplified by MLV, TM is not glycosylated.

Although the SU and TM proteins are not always required for the assembly of enveloped virion particles as such, they do play an essential role in the entry process. In this regard, the SU domain binds to a receptor molecule - often a specific receptor molecule - on the target cell. It is believed that this binding event activates the membrane fusion-inducing potential of the TM protein after which the viral and cell membranes fuse. In some viruses, notably MLV, a cleavage event - resulting in the removal of a short portion of the cytoplasmic tail of TM - is thought to play a key role in uncovering the full fusion activity of the protein (Brody *et al* 1994 J. Virol. 68: 4620-4627, Rein *et al* 1994 J. Virol. 68: 1773-1781). This cytoplasmic "tail", distal to the membrane-spanning segment of TM remains on the internal side of the viral membrane and it varies considerably in length in different retroviruses.

Thus, the specificity of the SU/receptor interaction can define the host range and tissue tropism of a retrovirus. In some cases, this specificity may restrict the transduction potential of a recombinant retroviral vector. For this reason, many gene therapy experiments have used MLV. A particular MLV that has an envelope protein called 4070A is known as an amphotropic virus, and this can also infect human cells because its envelope protein "docks" with a phosphate transport protein that is conserved between man and mouse. This transporter is ubiquitous and so these viruses are capable of infecting many cell types. In some cases however, it may be beneficial, especially from a safety point of view, to specifically target restricted cells. To this end, several groups have engineered a mouse ecotropic retrovirus, which unlike its amphotropic relative normally only infects mouse cells, to specifically infect particular human cells. Replacement of a fragment of an envelope protein with an erythropoietin segment produced a recombinant retrovirus which then bound specifically to human cells that expressed the erythropoietin receptor on their surface, such as red blood cell precursors (Maulik and Patel 1997 "Molecular Biotechnology: Therapeutic Applications and Strategies" 1997. Wiley-Liss Inc. pp 45.).

Replacement of the *env* gene with a heterologous *env* gene is an example of a technique or strategy called pseudotyping. Pseudotyping is not a new phenomenon and examples may be found in WO-A-98/05759, WO-A-98/05754, WO-A-97/17457, WO-A-96/09400, WO-A-91/00047 and Mebatsion *et al* 1997 Cell 90, 841-847.

Pseudotyping can confer one or more advantages. For example, with the lentiviral vectors, the *env* gene product of the HIV based vectors would restrict these vectors to infecting only cells that express a protein called CD4. But if the *env* gene in these vectors has been substituted with *env* sequences from other RNA viruses, then they may have a broader infectious spectrum (Verma and Somia 1997 Nature 389:239-242). As just described - and by way of example - workers have pseudotyped an HIV based vector with the glycoprotein from VSV (Verma and Somia 1997 *ibid*). In addition, WO 99/61639 describes the pseudotyping of a retrovirus with a nucleotide sequence coding for a rabies protein.

Also, and by way of example, the relative fragility of the retroviral Env protein may limit the ability to concentrate retroviral vectors - and concentrating the virus may result in a poor viral recovery.

- 5 To some extent, this problem may be overcome by substitution of the retroviral Env protein with the more stable VSV-G protein allowing more efficient vector concentration with better yields (Naldini *et al* 1996, Science 272: 263-267). However, pseudotyping with VSV-G protein may not always be desirable. This is because the VSV-G protein is cytotoxic (Chen *et al* 1996, Proc. Natl. Acad. Sci. 10057 and  
10 references cited therein).

Hence, it is desirable to find other proteins which are non-toxic and which can be used to pseudotype a retroviral vector.

- 15 The influenza haemagglutinin (HA) glycoprotein mediates the binding and fusion of influenza virions to target cells. The receptor for HA is sialic acid and the ability to pseudotype retroviruses with influenza HA would be useful for transducing a broad range of cell types. However, although some studies have demonstrated that it is possible to pseudotype retroviruses with influenza HA protein, the gene transfer titres  
20 obtained with HA pseudotyping has been relatively low. Accordingly, there is a need for a method of improving titres obtained with HA pseudotyping.

- One important set of target cells for gene therapy are the epithelial cells whose transduction with exogenous NOIs is an important goal in the treatment of diseases  
25 such as cystic fibrosis and retinopathies. In epithelial cells, the apical side is most accessible to *in vivo* gene therapy treatments. However, the apical side of polarized epithelia have proved difficult to transduce by other means. Accordingly, there is also a need for gene therapy vectors having the ability to transduce target epithelial cells through the apical side.

## SUMMARY OF THE INVENTION

The present invention seeks to overcome at least some of the problems currently associated with pseudotyped retroviruses by providing an improved retroviral delivery system that has been pseudotyped with an influenza HA protein.

A major advantage of using the influenza HA glycoprotein for pseudotyping in comparison to those used in the prior art such as the VSV glycoprotein is the detailed knowledge of its toxicity to man and other animals due to the extensive use of influenza vaccines. In addition, its natural tropism includes tissues of the respiratory tract, including the lung, making it useful for targeting airway epithelial cells.

According to a first aspect of the present invention there is provided retroviral delivery system capable of transducing a target site, wherein the retroviral delivery system comprises a first nucleotide sequence coding for at least a part of an envelope protein; a second nucleotide sequence coding for at least a part of a second protein and one or more other nucleotide sequences derivable from a retrovirus that ensure transduction of the target site by the retroviral delivery system; wherein the first and second nucleotide sequences are heterologous with respect to at least one of the other nucleotide sequences; and wherein the first nucleotide sequence codes for at least a part of an influenza HA protein or a mutant, variant, homologue, derivative or fragment thereof that is capable of recognising the target site.

Preferably said second protein is another influenza protein, more preferably an influenza matrix protein, most preferably an influenza envelope protein such as the M2 protein.

Thus, in accordance with a highly preferred embodiment of the present invention, we surprisingly discovered that the efficiency with which an envelope protein from influenza virus, the influenza HA protein, can pseudotype a retroviral vector can be markedly improved by pseudotyping the vector with a second influenza protein, such as the influenza M2 protein, in addition to the HA protein. The influenza HA protein

and second influenza protein may be used to pseudotype a wide variety of retroviral vectors. These include not only vectors constructed from murine oncoretroviruses such as MLV, but also vectors constructed from primate lentiviruses such as HIV and from non-primate lentiviruses such as equine infectious anaemia virus (EIAV).

5

During influenza A virus infection, M2 has been shown to have important roles at two steps in the virus replication cycle. Both these steps involve the regulation of pH and in both steps the ion channel activity of M2 is used to regulate the flux of protons across cellular or viral membranes. First, during virus production, the ion channel activity of M2 is important for maintaining the pH of the trans-golgi network above the pH necessary for the acid-induced activation of the membrane fusion activity of HA. M2 has previously been shown to enhance the targeting of fusion competent HA to the surface of cells in which it is expressed. It is likely that M2 helps augment EIAV vector production in this manner. A second step at which M2 acts is early after infection at the virus uncoating step, after the virus has been taken up into endosomes by receptor-mediated endocytosis. The ion channel activity of M2 is thought to promote virus uncoating by increasing the acidification of the virion interior thereby decreasing the stability of the viral core particle. Although the details of this step are incompletely understood, the net result is an increased movement of the sub-viral core particle to the nucleus of the cell where influenza virus replicates.

The findings of the present invention are highly surprising. In this respect, although a number of proteins have been used to pseudotype retroviruses, the efficiency with which this is achieved has generally been found to be low, resulting in relatively low viral titres. By pseudotyping a retrovirus with influenza HA protein in the presence of a second protein such as an influenza M2 protein, the viral titre may be markedly increased in comparison with pseudotyping with the influenza HA protein alone.

The retroviral delivery system of the present invention can comprise one entity. Alternatively, the retroviral delivery system of the present invention can comprise a plurality of entities which in combination provide the retroviral delivery system of the present invention. Preferably, the retroviral delivery system is a lentiviral vector.

Examples of viral delivery systems include, but are not limited to, herpesviruses and adenoviruses as described, for example, in Savard et al 1997, J Virol 71(5): 4111-4117; Feng et al 1997, Nat Biotechnol 15(9): 866-870.

- 5 In a preferred embodiment, the retroviral delivery system is selected from ELAV, HIV and MuLV.

The term "derivable" is used in its normal sense as meaning the sequence need not necessarily be obtained from a retrovirus but instead could be derived therefrom. By way  
10 of example, the sequence may be prepared synthetically or by use of recombinant DNA techniques.

Suitably, the influenza HA and influenza M2 proteins are derived from the avian influenza virus, fowl plague virus (FPV).

15

In addition to the influenza HA protein and the second protein present in the envelope of a vector according to the invention, one or more other envelope proteins may also be present. This may include for example a native envelope protein of the retrovirus. The use of a native envelope protein in addition to a pseudotyping protein can be  
20 beneficial to the stability and/or function of the envelope. It may even broaden the infectious profile of the vector. The further protein may, for example, also enhance production of a pseudotyped vector from a producer cell.

In one embodiment, the retroviral delivery system in accordance with the first aspect of  
25 the invention further comprises neuraminidase (NA).

Neuraminidase is an enzyme expressed in a number of number of organisms including bacteria and viruses. It is a critical protein on the surface membrane of the influenza virus (Laver et al. *Sci Am.* 1999;January:78-87; Colman P, et al. *Curr Top Microbiol*  
30 *Immunol.* 1985;114:177-255). In particular it enables the replicated influenza virus to bud from host cell and helps the virus to pass through mucous between cells in the entire respiratory tract.

Suitably, NA may be incorporated into the retroviral delivery system by incubation of the producer cells with neuraminidase protein. In another embodiment, NA may be incorporated into the retroviral delivery system by introducing a cDNA encoding NA into the delivery system. In this embodiment, therefore, the retroviral delivery system of the first aspect of the invention further comprises a third nucleotide sequence which encodes a neuraminidase. Suitably, NA may be bacterial neuraminidase. Alternatively, the NA may be derived from influenza virus. Accordingly, in one preferred embodiment, the nucleotide sequence encoding NA may be an influenza cDNA such as, for example, NA cDNA from influenza A/PR/8/34 (H1N1).

According to a second aspect of the present invention there is provided a viral particle obtainable from the retroviral delivery system according to the present invention.

The invention therefore provides in one aspect a retroviral vector particle pseudotyped with an influenza HA protein and a second protein. Preferably the second protein is another influenza protein, such as an influenza matrix protein, preferably an influenza M2 protein.

According to a third aspect of the present invention there is provided a retroviral vector wherein the retroviral vector is the retroviral delivery system according to the first aspect of the present invention or is obtainable therefrom.

In one embodiment, the vector of the present invention is constructed from or is derivable from a lentivirus. This has the advantage that the vector may be capable of transducing non-dividing cells and dividing cells. Thus, the preferred retroviral vectors for pseudotyping according to the invention are lentivirus vectors such as HIV, FIV or ELAV vectors. These have the advantages noted above. In particular an influenza HA pseudotyped lentivirus vector having influenza virus target cell range will be capable of transducing non-dividing cells such as epithelial cell or cells of the central nervous system such as neurons.



In a preferred embodiment, the retroviral vector according to the present invention may be concentrated. In a particularly preferred embodiment, concentration may be effected by centrifugation as described herein, for example.

- 5 According to a fourth aspect of the present invention there is provided a cell transduced with a retroviral delivery system according to the present invention, or a viral particle according to the present invention, or a retroviral vector according to the present invention.
- 10 According to a fifth aspect of the present invention there is provided a retroviral delivery system according to the present invention, or a viral particle according to the present invention, or a retroviral vector according to the present invention, for use in medicine.
- 15 According to a sixth aspect of the present invention there is provided the use of a retroviral delivery system according to the present invention, or a viral particle according to the present invention, or a retroviral vector according to the present invention in the manufacture of a pharmaceutical composition to deliver a NOI to a target site in need of same.
- 20 According to a seventh aspect of the present invention there is provided a method comprising contacting a cell with a retroviral delivery system according to the present invention, or a viral particle according to the present invention, or a retroviral vector according to the present invention.
- 25 According to an eighth aspect of the present invention there is provided a vector for preparing a retroviral delivery system according to the present invention, or a viral particle according to the present invention, or a retroviral vector according to the present invention, wherein the vector comprises a nucleotide sequence coding for at
- 30 least a part of the influenza HA protein or a mutant, variant, derivative or fragment thereof and a nucleotide sequence coding for at least a part of a second protein,

preferably an influenza M2 protein, or a mutant, derivative, homologue or fragment thereof.

In one embodiment, the vector further comprises a nucleotide sequence coding for a  
5 NA protein or a mutant, variant, derivative or fragment thereof.

According to a ninth aspect of the present invention there is provided a plasmid for  
preparing a retroviral delivery system according to the present invention, or a viral  
particle according to the present invention, or a retroviral vector according to the  
10 present invention, wherein the plasmid comprises a nucleotide sequence, or a  
combination of nucleotide sequences selected from a nucleotide sequence coding for at  
least a part of the influenza HA protein or a mutant, variant, derivative or fragment  
thereof, a nucleotide sequence coding for at least a part of a second protein, preferably  
an influenza M2 protein, or a mutant, derivative, or fragment thereof and a nucleotide  
15 sequence coding for NA or a mutant, variant, derivative or fragment thereof.

According to a tenth aspect of the present invention there is provided a plurality of  
plasmids, wherein at least one plasmid is a plasmid according to the present invention  
and wherein at least one other plasmid comprises one or more nucleotide sequences  
20 derivable from a retrovirus.

In another aspect, the invention provides a retroviral vector production system  
comprising a nucleic acid sequence which encodes an influenza HA protein, a second  
nucleic acid sequence which encodes a second protein, preferably an influenza protein  
25 such as an influenza M2 protein, a nucleic acid sequence which encodes a retrovirus  
vector genome and optionally one or more further nucleic acid sequences which  
encode packaging components required for the generation of infective retroviral vector  
particles containing the genome.

30 According to an eleventh aspect of the present invention there is provided the use of an  
influenza HA protein and a second protein, preferably an influenza M2 protein, to

pseudotype a retrovirus or a retroviral vector or a retroviral particle in order to affect the infectious profile of the retrovirus or the retroviral vector or the retroviral particle.

5 In one embodiment, there is provided the additional use of NA in combination with HA and a second protein to generate a pseudotyped retrovirus in accordance with the invention.

10 In another aspect, the invention provides the use of NA to pseudotype a retrovirus or a retroviral vector or a retroviral particle in order to enhance the infectivity of the retrovirus or the retroviral vector or the retroviral particle.

15 According to a twelfth aspect of the present invention there is provided the use an influenza HA protein and a second protein, preferably an influenza M2 protein, to pseudotype a retrovirus or a retroviral vector or a retroviral particle in order to affect the host range and/or cell tropism of the retrovirus or the retroviral vector or the retroviral particle.

20 According to a thirteenth aspect of the present invention there is provided a retrovirus or a retroviral vector or a retroviral particle pseudotyped with an influenza HA protein and a second protein, preferably an influenza M2 protein.

25 According to a fourteenth aspect of the present invention there is provided a retroviral delivery system comprising a heterologous *env* region, wherein the heterologous *env* region comprises at least a part of a nucleotide sequence coding for an influenza HA protein and at least a part of a nucleotide sequence coding for a second protein, preferably an influenza M2 protein.

30 According to a fifteenth aspect of the present invention there is provided a retroviral delivery system comprising a heterologous *env* region, wherein the heterologous *env* region comprises a nucleotide sequence coding for an influenza HA protein and a nucleotide sequence coding for a second protein, preferably an influenza M2 protein.

Preferably the first nucleotide sequence codes for all of an influenza HA protein or a mutant, variant, derivative or fragment thereof.

Preferably the second nucleotide sequence codes for all of an influenza M2 protein or  
5 a mutant, variant, derivative or fragment thereof.

Preferably at least one of the other nucleotide sequences is derivable from a lentivirus or an oncoretrovirus.

10 Preferably the other nucleotide sequences are derivable from a lentivirus or an oncoretrovirus.

Preferably the other nucleotide sequences are derivable from ELAV, HIV or MLV.

15 Preferably the retroviral delivery system comprises at least one NOI.

Preferably the NOI has a therapeutic effect or codes for a protein that has a therapeutic effect.

20 Preferably the target site is a cell. Suitably, said cell is a polarised cell such as an epithelial cells. Preferred epithelial cells are epithelial cells of the airways, or respiratory tract, including the trachea and lung. Other suitable epithelial cells are selected from skin cells, gut epithelial, liver epithelial, cells in the eye (including retinal pigment epithelium) and so forth. In one embodiment, the viral vector in  
25 accordance with the invention is capable of transducing a polarised cell through its apical surface.

Thus the present invention provides a retroviral vector having a heterologous envelope protein. This retroviral vector is useful in gene therapy.

30

An important aspect of the present invention is the pseudotyping of a retrovirus, and/or a retroviral vector derivable or based on same, with a nucleotide sequence coding for

an influenza HA protein or a mutant, variant, derivative or fragment thereof in the presence of a second nucleotide sequence encoding a second protein, preferably an influenza M2 protein, or a mutant, variant, derivative or fragment thereof. Here, the term pseudotyping means incorporating in at least a part of, or substituting a part of, or replacing all of, an *env* gene of a viral genome, or of a viral vector, a protein from another virus.

The presence of the second protein, for example the M2 protein enables efficient pseudotyping with the influenza HA protein.

10

Thus in a further aspect the present invention provides a method of optimising the pseudotyping of a retrovirus or retroviral vector or retroviral particle with an influenza HA protein comprising pseudotyping said retrovirus or retroviral vector or retroviral particle in the presence of a second nucleotide sequence encoding a second protein.

15 Preferably said second protein is an influenza matrix protein, most preferably an influenza M2 protein, or a mutant, variant, derivative or fragment thereof. Preferably, the retrovirus or retroviral vector or retroviral particle is pseudotyped with both the nucleotide sequence encoding the influenza HA protein and the second protein.

20 In preferred aspects of the invention, the presence of the second nucleotide sequence and/or second protein encoded by said second nucleotide sequence enhances the titre of retrovirus, retroviral vector or viral particle produced by the target cell pseudotyped with the influenza HA vector, relative to the titre obtained in the absence of the second nucleotide sequence and/or second protein encoded by said second nucleotide sequence. Preferably the titre obtainable in the presence of the second nucleotide sequence and/or second protein is at least 50%, preferably at least 100%, more preferably at least 200%, more preferably at least 500%, more preferably at least 1000%, more preferably at least 1500%, more preferably at least 2000%, more preferably at least 2500%, more preferably at least 2750%, most preferably at least 3000% greater than that obtainable in the absence of said second nucleotide sequence and/or second protein.

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In one embodiment of any aspect of the invention, the retroviral delivery system can be further optimised by treatment of the producer cell with NA. In another embodiment, NA cDNA may be introduced into the producer cell in order to increase vector production.

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In a further aspect, the invention provides the use of an influenza HA protein and a second protein to alter the target cell range of a retroviral vector, wherein the second protein is heterologous to the retroviral vector. The second protein is preferably an influenza protein, more preferably an influenza M2 protein.

10

The use of an influenza HA protein and a second protein, for example an influenza M2 protein, according to the invention provides vectors which *in vivo* preferentially transduce targetted cells which an influenza HA protein preferentially infects. The influenza HA protein mediates virus entry by interacting with receptors which carry oligosaccharides with terminal sialic acid residues and thus interacts with receptors on a broad range of cell types. Thus, the use of influenza HA protein and a second protein according to the invention also enables the provision of vectors which transduce a wide variety of cell types *in vitro* and also *in vivo*.

20 Alternatively, the tropism of the pseudotyped vector particles according to the invention may be modified by the use of a mutant influenza HA protein which is modified in the extracellular domain. Alternatively or additionally, influenza HA proteins from laboratory passaged strains of influenza may be used. These can be screened for alterations in tropism.

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An example of an influenza HA protein is shown as SEQ ID No. 2 and its coding sequence is presented as SEQ ID No. 1. The present invention covers variants, homologues or derivatives of those sequences.

30 In another aspect of the invention, there is provided a method of generating a viral vector comprising incubating a retroviral delivery system in accordance with the first aspect of the invention in the presence of NA.

In another aspect, the invention provides a method of producing retroviral vector particles having an envelope comprising an influenza HA protein, which method comprises providing a retroviral vector production system as described herein, in a producer cell, subjecting the producer cell to conditions suitable for the expression of vector particle components and the production of vector particles, and harvesting the vector particles from the supernatant.

The present invention also provides a producer cell expressing the vector genome and the producer plasmid(s) capable of producing a retroviral vector system useful in the present invention.

In yet another aspect, the invention provides a method of transducing a target cell with a NOI, which method comprises contacting the cell with a retroviral vector particle as described herein, carrying the NOI, under conditions to allow attachment to and entry of the vector into the cell such that the NOI enters the target cell genome.

In one embodiment, there is provided a method of transducing an epithelial cell through its apical surface comprising the steps of administering to said epithelial cell an HA pseudotyped viral vector in accordance with any aspect of the invention.

In yet another aspect, the invention provides a use of a vector in accordance with any aspect of the invention in a method of gene therapy of an epithelial target cell.

Suitably the target cell is a respiratory tract epithelial cell including a lung epithelial cell, an intestinal epithelial cell including an epithelial cell of the small or large intestine, a skin epithelial cell or a retinal epithelial cell. In a preferred embodiment, the epithelial cell is a diseased cell.

In one embodiment the use further comprises the step of administration of a retroviral vector in accordance with the invention.

Suitably administration is through topical application, inhalation or through intragastric methods such that said retroviral vector is administered directly to a target cell such as an epithelial cell.

- 5 In another aspect there is provided a method of treating and/or preventing a disease comprising administering a retroviral delivery system or a retroviral vector in accordance with the invention.

- 10 In another aspect there is provided a pharmaceutical composition comprising a retroviral delivery system or a retroviral vector in accordance with the invention.

In yet another aspect, there is provided a use of a retroviral vector in accordance with the invention in the manufacture of a medicament for use in the treatment of a disease.

- 15 Suitably said disease is selected from a respiratory tract disease, including cystic fibrosis, cancer, a disease of the small or large intestine, a skin disease and an eye disease.

- 20 Thus, in summation, the present invention relates to a retroviral vector having at least two heterologous envelope proteins, in particular an influenza HA protein and a second protein such as an influenza M2 protein. The present invention also relates to a retroviral vector production system for the production of retroviral vectors having an envelope comprising an influenza HA protein, and, a second protein, preferably an influenza M2 protein, as well as to methods of producing the vector and the systems,  
25 and to methods involving the use of the vector and the systems.

The present invention also provides a kit for producing a retroviral vector system useful in the first aspect of the invention, comprising

- 30 (i) a viral vector genome which is incapable of encoding one or more proteins which are required to produce a vector particle;  
(ii) one or more producer plasmid(s) capable of encoding the protein which is not encoded by (i); and optionally



- (iii) a cell suitable for conversion into a producer cell.

In a preferred embodiment, the viral vector genome is incapable of encoding the proteins gag, pol and env. Preferably the kit comprises one or more producer plasmids encoding env, gag and pol, for example, one producer plasmid encoding env and one encoding gag-pol. Preferably the gag-pol sequence is codon optimised for use in the particular producer cell (see below).

## 10 DETAILED DESCRIPTION OF THE INVENTION

### MUTANTS, VARIANTS, HOMOLOGUES, DERIVATIVES

The term "mutant" is used to mean a polypeptide having a primary amino acid sequence which differs from the wild type sequence by one or more amino acid additions, substitutions or deletions. A mutant may arise naturally, or may be created artificially (for example by site-directed mutagenesis). Preferably the mutant has at least 90% sequence identity with the wild type sequence. Preferably the mutant has 20 mutations or less over the whole wild-type sequence. More preferably the mutant has 10 mutations or less, most preferably 5 mutations or less over the whole wild-type sequence.

The term "variant" or "derivative" is used to mean a naturally occurring polypeptide which differs from a wild-type sequence. A variant may be found within the same viral strain (i.e. if there is more than one isoform of the protein) or may be found within different strains. Preferably the variant has at least 90% sequence identity with the wild type sequence. Preferably the variant has 20 mutations or less over the whole wild-type sequence. More preferably the variant has 10 mutations or less, most preferably 5 mutations or less over the whole wild-type sequence. The term "variant" is synonymous with allelic variations of the sequence.

Here, the term "homologue" means an entity having a certain homology with the wild type amino acid sequence and the wild type nucleotide sequence. Here, the term "homology" can be equated with "identity".

- 5 In the present context, an homologous sequence is taken to include an amino acid sequence which may be at least 75, 85 or 90% identical, preferably at least 95 or 98% identical to the subject sequence. Typically, the homologues will comprise the same active sites etc. as the subject amino acid sequence. Although homology can also be considered in terms of similarity (i.e. amino acid residues having similar chemical properties/functions), in the context of the present invention it is preferred to express  
10 homology in terms of sequence identity.

- In the present context, an homologous sequence is taken to include a nucleotide sequence which may be at least 75, 85 or 90% identical, preferably at least 95 or 98%  
15 identical to the subject sequence. Typically, the homologues will comprise the same sequences that code for the active sites etc. as the subject sequence. Although homology can also be considered in terms of similarity (i.e. amino acid residues having similar chemical properties/functions), in the context of the present invention it is preferred to express homology in terms of sequence identity.

20

Homology comparisons can be conducted by eye, or more usually, with the aid of readily available sequence comparison programs. These commercially available computer programs can calculate % homology between two or more sequences.

- 25 % homology may be calculated over contiguous sequences, i.e. one sequence is aligned with the other sequence and each amino acid in one sequence is directly compared with the corresponding amino acid in the other sequence, one residue at a time. This is called an "ungapped" alignment. Typically, such ungapped alignments are performed only over a relatively short number of residues.

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Although this is a very simple and consistent method, it fails to take into consideration that, for example, in an otherwise identical pair of sequences, one insertion or deletion

will cause the following amino acid residues to be put out of alignment, thus potentially resulting in a large reduction in % homology when a global alignment is performed. Consequently, most sequence comparison methods are designed to produce optimal alignments that take into consideration possible insertions and deletions without penalising unduly the overall homology score. This is achieved by inserting "gaps" in the sequence alignment to try to maximise local homology.

However, these more complex methods assign "gap penalties" to each gap that occurs in the alignment so that, for the same number of identical amino acids, a sequence alignment with as few gaps as possible - reflecting higher relatedness between the two compared sequences - will achieve a higher score than one with many gaps. "Affine gap costs" are typically used that charge a relatively high cost for the existence of a gap and a smaller penalty for each subsequent residue in the gap. This is the most commonly used gap scoring system. High gap penalties will of course produce optimised alignments with fewer gaps. Most alignment programs allow the gap penalties to be modified. However, it is preferred to use the default values when using such software for sequence comparisons. For example when using the GCG Wisconsin Bestfit package the default gap penalty for amino acid sequences is -12 for a gap and -4 for each extension.

20

Calculation of maximum % homology therefore firstly requires the production of an optimal alignment, taking into consideration gap penalties. A suitable computer program for carrying out such an alignment is the GCG Wisconsin Bestfit package (University of Wisconsin, U.S.A.; Devereux *et al.*, 1984, Nucleic Acids Research 12:387). Examples of other software than can perform sequence comparisons include, but are not limited to, the BLAST package (see Ausubel *et al.*, 1999 *ibid* - Chapter 18), FASTA (Atschul *et al.*, 1990, J. Mol. Biol., 403-410) and the GENEWORKS suite of comparison tools. Both BLAST and FASTA are available for offline and online searching (see Ausubel *et al.*, 1999 *ibid*, pages 7-58 to 7-60). However, for some applications, it is preferred to use the GCG Bestfit program. A new tool, called BLAST 2 Sequences is also available for comparing protein and nucleotide sequence

(see FEMS Microbiol Lett 1999 174(2): 247-50; FEMS Microbiol Lett 1999 177(1): 187-8 and tatiana@ncbi.nlm.nih.gov).

Although the final % homology can be measured in terms of identity, the alignment  
5 process itself is typically not based on an all-or-nothing pair comparison. Instead, a  
scaled similarity score matrix is generally used that assigns scores to each pairwise  
comparison based on chemical similarity or evolutionary distance. An example of  
such a matrix commonly used is the BLOSUM62 matrix - the default matrix for the  
BLAST suite of programs. GCG Wisconsin programs generally use either the public  
10 default values or a custom symbol comparison table if supplied (see user manual for  
further details). For some applications, it is preferred to use the public default values  
for the GCG package, or in the case of other software, the default matrix, such as  
BLOSUM62.

15 Once the software has produced an optimal alignment, it is possible to calculate %  
homology, preferably % sequence identity. The software typically does this as part of  
the sequence comparison and generates a numerical result.

The sequences may also have deletions, insertions or substitutions of amino acid  
20 residues which produce a silent change and result in a functionally equivalent  
substance. Deliberate amino acid substitutions may be made on the basis of similarity  
in polarity, charge, solubility, hydrophobicity, hydrophilicity, and/or the amphipathic  
nature of the residues as long as the secondary binding activity of the substance is  
retained. For example, negatively charged amino acids include aspartic acid and  
25 glutamic acid; positively charged amino acids include lysine and arginine; and amino  
acids with uncharged polar head groups having similar hydrophilicity values include  
leucine, isoleucine, valine, glycine, alanine, asparagine, glutamine, serine, threonine,  
phenylalanine, and tyrosine.

30 Conservative substitutions may be made, for example according to the Table below.  
Amino acids in the same block in the second column and preferably in the same line in  
the third column may be substituted for each other:

ALIPHATIC	Non-polar	G A P
		I L V
	Polar – uncharged	C S T M
		N Q
	Polar – charged	D E
		K R
AROMATIC		H F W Y

The present invention also encompasses homologous substitution (substitution and replacement are both used herein to mean the interchange of an existing amino acid residue, with an alternative residue) may occur i.e. like-for-like substitution such as basic for basic, acidic for acidic, polar for polar etc. Non-homologous substitution may also occur i.e. from one class of residue to another or alternatively involving the inclusion of unnatural amino acids such as ornithine (hereinafter referred to as Z), diaminobutyric acid ornithine (hereinafter referred to as B), norleucine ornithine (hereinafter referred to as O), pyriylalanine, thienylalanine, naphthylalanine and phenylglycine.

Replacements may also be made by unnatural amino acids include; alpha\* and alpha-disubstituted\* amino acids, N-alkyl amino acids\*, lactic acid\*, halide derivatives of natural amino acids such as trifluorotyrosine\*, p-Cl-phenylalanine\*, p-Br-phenylalanine\*, p-I-phenylalanine\*, L-allyl-glycine\*,  $\beta$ -alanine\*, L- $\alpha$ -amino butyric acid\*, L- $\gamma$ -amino butyric acid\*, L- $\alpha$ -amino isobutyric acid\*, L- $\epsilon$ -amino caproic acid<sup>#</sup>, 7-amino heptanoic acid\*, L-methionine sulfone<sup>##</sup>, L-norleucine\*, L-norvaline\*, p-nitro-L-phenylalanine\*, L-hydroxyproline<sup>#</sup>, L-thioprolin\*, methyl derivatives of phenylalanine (Phe) such as 4-methyl-Phe\*, pentamethyl-Phe\*, L-Phe (4-amino)<sup>#</sup>, L-Tyr (methyl)\*, L-Phe (4-isopropyl)\*, L-Tic (1,2,3,4-tetrahydroisoquinoline-3-carboxyl acid)\*, L-diaminopropionic acid<sup>#</sup> and L-Phe (4-benzyl)\*. The notation \* has been utilised for the purpose of the discussion above (relating to homologous or non-homologous substitution), to indicate the hydrophobic nature of the derivative whereas

# has been utilised to indicate the hydrophilic nature of the derivative, #\* indicates amphipathic characteristics.

Variant amino acid sequences may include suitable spacer groups that may be inserted  
5 between any two amino acid residues of the sequence including alkyl groups such as methyl, ethyl or propyl groups in addition to amino acid spacers such as glycine or  $\beta$ -alanine residues. A further form of variation, involves the presence of one or more amino acid residues in peptoid form, will be well understood by those skilled in the art. For the avoidance of doubt, "the peptoid form" is used to refer to variant amino acid  
10 residues wherein the  $\alpha$ -carbon substituent group is on the residue's nitrogen atom rather than the  $\alpha$ -carbon. Processes for preparing peptides in the peptoid form are known in the art, for example Simon RJ *et al.*, PNAS (1992) 89(20), 9367-9371 and Horwell DC, Trends Biotechnol. (1995) 13(4), 132-134.

15 The term "fragment" indicates that the polypeptide comprises a fraction of the wild-type amino acid sequence. It may comprise one or more large contiguous sections of sequence or a plurality of small sections. The polypeptide may also comprise other elements of sequence, for example, it may be a fusion protein with another protein. Preferably the polypeptide comprises at least 50%, more preferably at least 65%, most  
20 preferably at least 80% of the wild-type sequence.

With respect to function, the HA mutant, variant, homologue, derivative or fragment should be capable of targeting epithelial cells when used to pseudotype an appropriate vector. In particular, the function is targeting the apical surface of epithelial cells.

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With respect to function of the additional influenza envelope protein such as M2 and NA, the mutant, variant, homologue, derivative or fragment should be capable of augmenting production of retroviral vectors pseudotyped with HA.

30 The terms "variant", "homologue" or "fragment" in relation to the amino acid sequence for the preferred HA protein of the present invention include any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) amino acid

- from or to the sequence providing the resultant protein has HA protein activity and/or HA protein characteristics or profile, preferably being at least as biologically active as an HA protein known in the art, for example that disclosed under Genbank accession no 122886 and shown as SEQ ID NO:1. In particular, the term "homologue" covers homology with respect to structure and/or function. With respect to sequence homology, preferably there is at least 75%, more preferably at least 85%, more preferably at least 90% homology to the sequence of an HA protein known in the art, for example that disclosed under Genbank accession no 122886 and shown as SEQ ID NO:1 shown under Genbank accession no 122886 (SEQ ID No 1). More preferably there is at least 95%, more preferably at least 98%, homology to the sequence of an HA protein known in the art, for example that disclosed under Genbank accession no 122886 and shown as SEQ ID NO:1 shown under Genbank accession no 122886 (SEQ ID No 1). These terms also encompass any one of the at least 13 major antigenic types of HA known.
- 15 The terms "variant", "homologue" or "fragment" in relation to the nucleotide sequence coding for the preferred HA protein of the present invention include any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) nucleic acid from or to the sequence providing the resultant nucleotide sequence codes for or is capable of coding for a protein having HA protein activity and/or HA protein characteristics or profile, preferably being at least as biologically active as an HA protein known in the art, for example that disclosed under Genbank accession no 122886 and shown as SEQ ID NO:1. In particular, the term "homologue" covers homology with respect to structure and/or function providing the resultant nucleotide sequence codes for or is capable of coding for a protein having HA protein activity and/or HA protein characteristics or profile. With respect to sequence homology, preferably there is at least 75%, more preferably at least 85%, more preferably at least 90% homology to the nucleotide sequence encoding an HA protein known in the art, for example that disclosed under Genbank accession no 122886 and shown as SEQ ID NO:1. More preferably there is at least 95%, more preferably at least 98%, homology to a nucleotide sequence encoding an HA protein known in the art, for example that disclosed under Genbank accession no 122886 and shown as SEQ ID NO:1.

The terms "variant", "homologue" or "fragment" in relation to the amino acid sequence for the preferred second protein of the present invention include any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) amino acid from or to the sequence providing the resultant protein has second protein, e.g. M2 protein activity and/or second protein e.g. M2 protein characteristics or profile, preferably being at least as biologically active as an M2 protein known in the art. In particular, the term "homologue" covers homology with respect to structure and/or function. With respect to sequence homology, preferably there is at least 75%, more preferably at least 85%, more preferably at least 90% homology to the sequence of an M2 protein known in the art. More preferably there is at least 95%, more preferably at least 98%, homology to the sequence of an M2 protein known in the art.

The terms "variant", "homologue" or "fragment" in relation to the nucleotide sequence coding for the second protein of the present invention include any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) nucleic acid from or to the sequence providing the resultant nucleotide sequence codes for or is capable of coding for a protein having second protein e.g. M2 protein activity and/or second protein e.g. M2 protein characteristics or profile, preferably being at least as biologically active as an M2 protein known in the art. In particular, the term "homologue" covers homology with respect to structure and/or function providing the resultant nucleotide sequence codes for or is capable of coding for a protein having second protein e.g. M2 protein activity and/or second protein e.g. M2 characteristics or profile. With respect to sequence homology, preferably there is at least 75%, more preferably at least 85%, more preferably at least 90% homology to a nucleotide sequence encoding an M2 protein known in the art. More preferably there is at least 95%, more preferably at least 98%, homology to a nucleotide sequence encoding an M2 protein known in the art.

Similarly, the terms "variant", "homologue" or "fragment" in relation to NA incorporate NA derived from bacteria or from any other suitable source. In particular, the term extends to Vibrio Cholera derived NA and influenza derived NA, including any one of the, at least, nine major antigenic types.



The term "variant" also encompasses sequences that are complementary to sequences that are capable of hybridising to the nucleotide sequences presented herein. Preferably, the term "variant" encompasses sequences that are complementary to sequences that are  
5 capable of hybridising under stringent conditions (e.g. 65°C and 0.1 SSC {1x SSC = 0.15 M NaCl, 0.015 Na<sub>3</sub> citrate pH 7.0}) to the nucleotide sequence presented herein.

#### VECTOR DELIVERY SYSTEMS AND VECTOR PARTICLES

10 A vector particle includes the following components: a vector genome, which may contain one or more NOIs, a nucleocapsid encapsidating the nucleic acid, and a membrane surrounding the nucleocapsid.

Suitable vector particles of the present invention are hybrid particles made up of a core  
15 lentiviral core particle packaged in a lipid envelope containing influenza envelope proteins. Core particles comprise the nucleocapsid proteins.

The term "nucleocapsid" refers to at least the group specific viral core proteins (gag) and the viral polymerase (pol) of a retrovirus genome. These proteins encapsidate the  
20 packagable sequences and are themselves further surrounded by a membrane containing an envelope glycoprotein.

Viral vector or viral delivery systems include but are not limited to adenoviral vectors, adeno-associated viral (AAV) vectors, herpes viral vectors, retroviral vectors,  
25 lentiviral vectors, and baculoviral vectors.

The term "vector genome" refers to both to the RNA construct present in the retroviral vector particle and the integrated DNA construct. The term also embraces a separate or isolated DNA construct capable of encoding such an RNA genome. A retroviral or  
30 lentiviral genome should comprise at least one component part derivable from a retrovirus or a lentivirus. The term "derivable" is used in its normal sense as meaning a nucleotide sequence or a part thereof which need not necessarily be obtained from a

virus such as a lentivirus but instead could be derived therefrom. By way of example, the sequence may be prepared synthetically or by use of recombinant DNA techniques. Preferably the genome comprises a *psi* region (or an analogous component which is capable of causing encapsidation).

5

The viral vector genome is preferably "replication defective" by which we mean that the genome does not comprise sufficient genetic information alone to enable independent replication to produce infectious viral particles within the recipient cell. In a preferred embodiment, the genome lacks a functional *env*, *gag* or *pol* gene. If a  
10 highly preferred embodiment the genome lacks *env*, *gag* and *pol* genes.

The viral vector genome may comprise some or all of the long terminal repeats (LTRs). Preferably the genome comprises at least part of the LTRs or an analogous sequence which is capable of mediating proviral integration, and transcription. The  
15 sequence may also comprise or act as an enhancer-promoter sequence.

In addition to *gag*, *pol* and *env*, the complex retroviruses also contain "accessory" or "additional" genes which code for accessory or auxillary proteins. Accessory or auxillary proteins are defined as those proteins encoded by the accessory genes in  
20 addition to those encoded by the usual replicative or structural genes, *gag*, *pol* and *env*. These accessory proteins are distinct from those involved in the regulation of gene expression, like those encoded by *tat*, *rev*, *tax* and *rex*. Examples of accessory genes include one or more of *vif*, *vpr*, *vpx*, *vpu* and *nef*. These accessory genes can be found in, for example, HIV (see, for example pages 802 and 803 of "Retroviruses" Ed.  
25 Coffin *et al* Pub. CSHL 1997). EIAV has, amongst others, the additional gene, S2.

Proteins encoded by additional genes serve various functions, some of which may be duplicative of a function provided by a cellular protein. In EIAV, for example, *tat* acts as a transcriptional activator of the viral LTR. It binds to a stable, stem-loop RNA  
30 secondary structure referred to as TAR. Rev regulates and co-ordinates the expression of viral genes through rev-response elements (RRE). The mechanisms of action of these two proteins are thought to be broadly similar to the analogous mechanisms in

the primate viruses. The function of S2 is unknown. In addition, an EIAV protein, Ttm, has been identified that is encoded by the first exon of *tat* spliced to the *env* coding sequence at the start of the transmembrane protein.

- 5 Non-essential accessory proteins may function in specialised cell types, providing functions that are at least in part duplicative of a function provided by a cellular protein. Typically, the accessory genes are located between *pol* and *env*, just downstream from *env* including the U3 region of the LTR or overlapping portions of the *env* and each other.

10

The complex retroviruses have evolved regulatory mechanisms that employ virally encoded transcriptional activators as well as cellular transcriptional factors. These *trans*-acting viral proteins serve as activators of RNA transcription directed by the LTRs. The transcriptional *trans*-activators of the lentiviruses are encoded by the viral *tat* genes. Tat binds to a stable, stem-loop, RNA secondary structure, referred to as TAR, one function of which is to apparently optimally position Tat to *trans*-activate transcription.

15

As mentioned earlier, retroviruses have been proposed as a delivery system (otherwise expressed as a delivery vehicle or delivery vector) for *inter alia* the transfer of a NOI, or a plurality of NOIs, to one or more sites of interest. The transfer can occur *in vitro*, *ex vivo*, *in vivo*, or combinations thereof. When used in this fashion, the retroviruses are typically called retroviral vectors or recombinant retroviral vectors. Retroviral vectors have even been exploited to study various aspects of the retrovirus life cycle, including receptor usage, reverse transcription and RNA packaging (reviewed by Miller, 1992 Curr Top Microbiol Immunol 158:1-24).

25

In a typical recombinant retroviral vector for use in gene therapy, at least part of one or more of the *gag*, *pol* and *env* protein coding regions may be removed from the virus. This makes the retroviral vector replication-defective. The removed portions may even be replaced by a NOI in order to generate a virus capable of integrating its genome into a host genome but wherein the modified viral genome is unable to

30

propagate itself due to a lack of structural proteins. When integrated in the host genome, expression of the NOI occurs - resulting in, for example, a therapeutic effect. Thus, the transfer of a NOI into a site of interest is typically achieved by: integrating the NOI into the recombinant viral vector; packaging the modified viral vector into a virion coat; and allowing transduction of a site of interest - such as a targetted cell or a targetted cell population.

It is possible to propagate and isolate quantities of retroviral vectors (e.g. to prepare suitable titres of the retroviral vector) for subsequent transduction of, for example, a site of interest by using a combination of a packaging or helper cell line and a recombinant vector.

As used herein, the term "packaging cell" refers to a cell which contains those elements necessary for production of infectious recombinant virus which are lacking in the RNA genome. Typically, such packaging cells contain one or more producer plasmids which are capable of expressing viral structural proteins (such as *gag-pol* and *env*, which may be codon optimised) but they do not contain a packaging signal.

The term "packaging signal" which is referred to interchangeably as "packaging sequence" or "*psi*" is used in reference to the non-coding, *cis*-acting sequence required for encapsidation of retroviral RNA strands during viral particle formation. In HIV-1, this sequence has been mapped to loci extending from upstream of the major splice donor site (SD) to at least the *gag* start codon.

Packaging cell lines may be readily prepared (see also WO 92/05266), and utilised to create producer cell lines for the production of retroviral vector particles. As already mentioned, a summary of the available packaging lines is presented in "Retroviruses" (as above).

It is known that the separate expression of the components required to produce a retroviral vector particle on separate DNA sequences cointroduced into the same cell will yield retroviral particles carrying defective retroviral genomes that carry therapeutic

genes (e.g. Reviewed by Miller 1992). This cell is referred to as the producer cell (see below).

There are two common procedures for generating producer cells. In one, the sequences  
5 encoding retroviral Gag, Pol and Env proteins are introduced into the cell and stably  
integrated into the cell genome; a stable cell line is produced which is referred to as the  
packaging cell line. The packaging cell line produces the proteins required for  
packaging retroviral RNA but it cannot bring about encapsidation due to the lack of a  
*psi* region. However, when a vector genome (having a *psi* region) is introduced into  
10 the packaging cell line, the helper proteins can package the *psi*-positive recombinant  
vector RNA to produce the recombinant virus stock. This can be used to transduce the  
NOI into recipient cells. The recombinant virus whose genome lacks all genes  
required to make viral proteins can infect only once and cannot propagate. Hence, the  
NOI is introduced into the host cell genome without the generation of potentially  
15 harmful retrovirus. A summary of the available packaging lines is presented in  
"Retroviruses" (1997 Cold Spring Harbour Laboratory Press Eds: JM Coffin, SM  
Hughes, HE Varmus pp 449).

The present invention also provides a packaging cell line comprising a viral vector  
20 genome which is capable of producing a vector system useful in the first aspect of the  
invention. For example, the packaging cell line may be transduced with a viral vector  
system comprising the genome or transfected with a plasmid carrying a DNA construct  
capable of encoding the RNA genome. The present invention also provides a kit for  
producing a retroviral vector system useful in the first aspect of the invention which  
25 comprises a packaging cell and a retroviral vector genome.

However, this technique can be problematic in the sense that the titre levels are not  
always at a satisfactory level. Nevertheless, the design of retroviral packaging cell lines  
has evolved to address the problem of *inter alia* the spontaneous production of helper  
30 virus that was frequently encountered with early designs. As recombination is greatly  
facilitated by homology, reducing or eliminating homology between the genomes of  
the vector and the helper has reduced the problem of helper virus production.

The second approach is to introduce the three different DNA sequences that are required to produce a retroviral vector particle i.e. the *env* coding sequences, the *gag-pol* coding sequence and the defective retroviral genome containing one or more NOIs into the cell at the same time by transient transfection and the procedure is referred to as transient triple transfection (Landau & Littman 1992; Pear et al 1993). The triple transfection procedure has been optimised (Soneoka et al 1995; Finer et al 1994). WO 94/29438 describes the production of producer cells *in vitro* using this multiple DNA transient transfection method. WO 97/27310 describes a set of DNA sequences for creating retroviral producer cells either *in vivo* or *in vitro* for re-implantation.

The components of the viral system which are required to complement the vector genome may be present on one or more "producer plasmids" for transfecting into cells.

Transient transfection can also be used to measure vector production when vectors are being developed. Transient transfection has numerous advantages over the packaging cell method. In this regard, transient transfection avoids the longer time required to generate stable vector-producing cell lines and is used if the vector or retroviral packaging components are toxic to cells. Components typically used to generate retroviral vectors include a plasmid encoding the Gag/Pol proteins, a plasmid encoding the Env protein and a plasmid containing a NOI. Vector production involves transient transfection of one or more of these components into cells containing the other required components. If the vector encodes toxic genes or genes that interfere with the replication of the host cell, such as inhibitors of the cell cycle or genes that induce apoptosis, it may be difficult to generate stable vector-producing cell lines, but transient transfection can be used to produce the vector before the cells die. Also, cell lines have been developed using transient infection that produce vector titre levels that are comparable to the levels obtained from stable vector-producing cell lines (Pear et al 1993, PNAS 90:8392-8396).

## SELF-INACTIVATING VECTOR SYSTEM

Preferably the retroviral vector system used in the first aspect of the present invention is a self-inactivating (SIN) vector system.

- 5 By way of example, self-inactivating retroviral vector systems have been constructed by deleting the transcriptional enhancers or the enhancers and promoter in the U3 region of the 3' LTR. After a round of vector reverse transcription and integration, these changes are copied into both the 5' and the 3' LTRs producing a transcriptionally inactive provirus. However, any promoter(s) internal to the LTRs in such vectors will  
10 still be transcriptionally active. This strategy has been employed to eliminate effects of the enhancers and promoters in the viral LTRs on transcription from internally placed genes. Such effects include increased transcription or suppression of transcription. This strategy can also be used to eliminate downstream transcription from the 3' LTR into genomic DNA. This is of particular concern in human gene  
15 therapy where it may be important to prevent the adventitious activation of an endogenous oncogene.

## RECOMBINASE ASSISTED MECHANISM

- Preferably a recombinase assisted mechanism is used which facilitates the production  
20 of high titre regulated lentiviral vectors from the producer cells of the present invention.

- As used herein, the term "recombinase assisted system" includes but is not limited to a system using the Cre recombinase / loxP recognition sites of bacteriophage P1 or the  
25 site-specific FLP recombinase of *S. cerevisiae* which catalyses recombination events between 34 bp FLP recognition targets (FRTs).

- The site-specific FLP recombinase of *S. cerevisiae* which catalyses recombination events between 34 bp FLP recognition targets (FRTs) has been configured into DNA  
30 constructs in order to generate high level producer cell lines using recombinase-assisted recombination events (Karreman et al (1996) NAR 24:1616-1624). A similar system has been developed using the Cre recombinase / loxP recognition sites of

bacteriophage P1 (see PCT/GB00/03837; Vanin et al (1997) J. Virol 71:7820-7826). This was configured into a lentiviral genome such that high titre lentiviral producer cell lines were generated.

- 5 By using producer/packaging cell lines, it is possible to propagate and isolate quantities of retroviral vector particles (e.g. to prepare suitable titres of the retroviral vector particles) for subsequent transduction of, for example, a site of interest (such as an epithelial cell). Producer cell lines are usually better for large scale production of vector particles.

10

Producer cells/packaging cells can be of any suitable cell type. Producer cells are generally mammalian cells but can be, for example, insect cells.

- As used herein, the term "producer cell" or "vector producing cell" refers to a cell  
15 which contains all the elements necessary for production of retroviral vector particles.

Preferably, the producer cell is obtainable from a stable producer cell line.

Preferably, the producer cell is obtainable from a derived stable producer cell line.

20

Preferably, the producer cell is obtainable from a derived producer cell line.

- As used herein, the term "derived producer cell line" is a transduced producer cell line which has been screened and selected for high expression of a marker gene. Such cell  
25 lines support high level expression from the retroviral genome. The term "derived producer cell line" is used interchangeably with the term "derived stable producer cell line" and the term "stable producer cell line."

- Preferably the derived producer cell line includes but is not limited to a retroviral  
30 and/or a lentiviral producer cell.



Preferably the derived producer cell line is an HIV or EIAV producer cell line, more preferably an EIAV producer cell line.

5 Preferably the envelope protein sequences, and nucleocapsid sequences are all stably integrated in the producer and/or packaging cell. However, one or more of these sequences could also exist in episomal form and gene expression could occur from the episome.

10 Preferably the producer cell is treated with neuraminidase. As described herein, this may be through the introduction of a recombinant or purified protein or through the introduction of a cDNA.

15 Also as discussed above, simple packaging cell lines, comprising a provirus in which the packaging signal has been deleted, have been found to lead to the rapid production of undesirable replication competent viruses through recombination. In order to improve safety, second generation cell lines have been produced wherein the 3'LTR of the provirus is deleted. In such cells, two recombinations would be necessary to produce a wild type virus. A further improvement involves the introduction of the *gag-pol* genes and the *env* gene on separate constructs so-called third generation packaging  
20 cell lines. These constructs are introduced sequentially to prevent recombination during transfection.

Preferably, the packaging cell lines are second generation packaging cell lines.

25 Preferably, the packaging cell lines are third generation packaging cell lines.

In these split-construct, third generation cell lines, a further reduction in recombination may be achieved by changing the codons. This technique, based on the redundancy of the genetic code, aims to reduce homology between the separate constructs, for  
30 example between the regions of overlap in the *gag-pol* and *env* open reading frames.

The packaging cell lines are useful for providing the gene products necessary to encapsidate and provide a membrane protein for a high titre vector particle production. The packaging cell may be a cell cultured *in vitro* such as a tissue culture cell line. Suitable cell lines include but are not limited to mammalian cells such as murine  
5 fibroblast derived cell lines or human cell lines. Preferably the packaging cell line is a human cell line, such as for example: HEK293, 293-T, TE671, HT1080.

Alternatively, the packaging cell may be a cell derived from the individual to be treated such as a monocyte, macrophage, blood cell or fibroblast. The cell may be  
10 isolated from an individual and the packaging and vector components administered *ex vivo* followed by re-administration of the autologous packaging cells.

It is highly desirable to use high-titre virus preparations in both experimental and practical applications. Techniques for increasing viral titre include using a *psi* plus  
15 packaging signal as discussed above and concentration of viral stocks such as ultracentrifugation, as described herein.

As used herein, the term "high titre" means an effective amount of a retroviral vector or particle which is capable of transducing a target site such as a cell.  
20

As used herein, the term "effective amount" means an amount of a regulated retroviral or lentiviral vector or vector particle which is sufficient to induce expression of the NOIs at a target site.

25 A high-titre viral preparation for a producer/packaging cell is usually of the order of  $10^5$  to  $10^7$  t.u. per ml. (The titer is expressed in transducing units per ml (t.u./ml) as titred on a standard D17 cell line). For transduction in tissues such as the brain, it is necessary to use very small volumes, so the viral preparation is concentrated by ultracentrifugation. The resulting preparation should have at least  $10^8$  t.u./ml,  
30 preferably from  $10^8$  to  $10^9$  t.u./ml, more preferably at least  $10^9$  t.u./ml.

The presence of a sequence termed the central polypurine tract (cPPT) may improve the efficiency of gene delivery to non-dividing cells (see WO 00/31200). This *cis*-acting element is located, for example, in the EIAV polymerase coding region element. Preferably the genome of the vector system used in the present invention comprises a

5 cPPT sequence.

In addition, or in the alternative, the viral genome may comprise a post-translational regulatory element and/or a translational enhancer.

## 10 MINIMAL SYSTEMS

The retroviral vector genomes of the present invention for subsequent use in gene therapy preferably contain the minimum retroviral material necessary to function efficiently as vectors. The purpose of this is to allow space for the incorporation of the

15 NOI(s), and for safety reasons. Retroviral vector genomes are preferably replication defective due to the absence of functional genes encoding one or more of the structural (or packaging) components encoded by the *gag-pol* and *env* genes. The absent components required for particle production are provided in *trans* in the producer cell. The absence of virus structural components in the genome also means that undesirable

20 immune responses generated against virus proteins expressed in the target cell are reduced or avoided. Furthermore, possible reconstruction of infectious viral particles is preferably avoided where *in vivo* use is contemplated. Therefore, the viral structural components are preferably excluded from the genome as far as possible, in order to reduce the chance of any successful recombination.

25

It has been demonstrated that a primate lentivirus minimal system can be constructed which requires none of the HIV/SIV additional genes *vif*, *vpr*, *vpx*, *vpu*, *tat*, *rev* and *nef* for either vector production or for transduction of dividing and non-dividing cells. It has also been demonstrated that an EIAV minimal vector system can be constructed

30 which does not require *S2* for either vector production or for transduction of dividing and non-dividing cells. The deletion of additional genes is highly advantageous. Firstly, it permits vectors to be produced without the genes associated with disease in

lentiviral (e.g. HIV) infections. In particular, *tat* is associated with disease. Secondly, the deletion of additional genes permits the vector to package more heterologous DNA. Thirdly, genes whose function is unknown, such as *S2*, may be omitted, thus reducing the risk of causing undesired effects. Examples of minimal lentiviral vectors  
5 are disclosed in WO-A-99/32646 and in WO-A-98/17815.

Thus, preferably, the delivery system used in the invention is devoid of at least *tat* and *S2* (if it is an ELAV vector system), and possibly also *vif*, *vpr*, *vpx*, *vpu* and *nef*. More preferably, the systems of the present invention are also devoid of *rev*. *Rev* was  
10 previously thought to be essential in some retroviral genomes for efficient virus production. For example, in the case of HIV, it was thought that *rev* and RRE sequence should be included. However, it has been found that the requirement for *rev* and RRE can be reduced or eliminated by codon optimisation (see below) or by replacement with other functional equivalent systems such as the MPMV system. As  
15 expression of the codon optimised *gag-pol* is REV independent, RRE can be removed from the *gag-pol* expression cassette, thus removing any potential for recombination with any RRE contained on the vector genome.

In a preferred embodiment the viral genome of the first aspect of the invention lacks  
20 the Rev response element (RRE).

In a preferred embodiment, the system used in the present invention is based on a so-called "minimal" system in which some or all of the additional genes have been removed.  
25

## CODON OPTIMISATION

Codon optimisation has previously been described in WO99/41397. Different cells differ in their usage of particular codons. This codon bias corresponds to a bias in the  
30 relative abundance of particular tRNAs in the cell type. By altering the codons in the sequence so that they are tailored to match with the relative abundance of corresponding tRNAs, it is possible to increase expression. By the same token, it is

possible to decrease expression by deliberately choosing codons for which the corresponding tRNAs are known to be rare in the particular cell type. Thus, an additional degree of translational control is available.

- 5 Many viruses, including HIV and other lentiviruses, use a large number of rare codons and by changing these to correspond to commonly used mammalian codons, increased expression of the packaging components in mammalian producer cells can be achieved. Codon usage tables are known in the art for mammalian cells, as well as for a variety of other organisms.

10

Codon optimisation has a number of other advantages. By virtue of alterations in their sequences, the nucleotide sequences encoding the packaging components of the viral particles required for assembly of viral particles in the producer cells/packaging cells have RNA instability sequences (INS) eliminated from them. At the same time, the amino acid sequence coding sequence for the packaging components is retained so that the viral components encoded by the sequences remain the same, or at least sufficiently similar that the function of the packaging components is not compromised. Codon optimisation also overcomes the Rev/RRE requirement for export, rendering optimised sequences Rev independent. Codon optimisation also reduces homologous recombination between different constructs within the vector system (for example between the regions of overlap in the gag-pol and env open reading frames). The overall effect of codon optimisation is therefore a notable increase in viral titre and improved safety.

- 15 20 25 In one embodiment only codons relating to INS are codon optimised. However, in a much more preferred and practical embodiment, the sequences are codon optimised in their entirety, with the exception of the sequence encompassing the frameshift site.

The *gag-pol* gene comprises two overlapping reading frames encoding the gag-pol proteins. The expression of both proteins depends on a frameshift during translation. This frameshift occurs as a result of ribosome "slippage" during translation. This slippage is thought to be caused at least in part by ribosome-stalling RNA secondary

30

structures. Such secondary structures exist downstream of the frameshift site in the -  
*gag-pol* gene. For HIV, the region of overlap extends from nucleotide 1222  
downstream of the beginning of *gag* (wherein nucleotide 1 is the A of the *gag* ATG) to  
the end of *gag* (nt 1503). Consequently, a 281 bp fragment spanning the frameshift  
5 site and the overlapping region of the two reading frames is preferably not codon  
optimised. Retaining this fragment will enable more efficient expression of the *gag*-  
*pol* proteins.

For ELAV the beginning of the overlap has been taken to be nt 1262 (where nucleotide  
10 1 is the A of the *gag* ATG). The end of the overlap is at 1461 bp. In order to ensure  
that the frameshift site and the *gag-pol* overlap are preserved, the wild type sequence  
has been retained from nt 1156 to 1465.

Derivations from optimal codon usage may be made, for example, in order to  
15 accommodate convenient restriction sites, and conservative amino acid changes may  
be introduced into the *gag-pol* proteins.

In a highly preferred embodiment, codon optimisation was based on lightly expressed  
mammalian genes. The third and sometimes the second and third base may be  
20 changed.

Due to the degenerate nature of the Genetic Code, it will be appreciated that numerous  
*gag-pol* sequences can be achieved by a skilled worker. Also there are many retroviral  
variants described which can be used as a starting point for generating a codon  
25 optimised *gag-pol* sequence. Lentiviral genomes can be quite variable. For example  
there are many quasi-species of HIV-1 which are still functional. This is also the case  
for ELAV. These variants may be used to enhance particular parts of the transduction  
process. Examples of HIV-1 variants may be found at <http://hiv-web.lanl.gov>.  
Details of ELAV clones may be found at the NCBI database:  
30 <http://www.ncbi.nlm.nih.gov>.

The strategy for codon optimised *gag-pol* sequences can be used in relation to any retrovirus. This would apply to all lentiviruses, including EIAV, FIV, BIV, CAEV, VMR, SIV, HIV-1 and HIV-2. In addition this method could be used to increase expression of genes from HTLV-1, HTLV-2, HFV, HSRV and human endogenous  
5 retroviruses (HERV), MLV and other retroviruses.

Codon optimisation can render *gag-pol* expression Rev independent. In order to enable the use of anti-*rev* or RRE factors in the retroviral vector, however, it would be necessary to render the viral vector generation system totally Rev/RRE independent.  
10 Thus, the genome also needs to be modified. This is achieved by optimising vector genome components. Advantageously, these modifications also lead to the production of a safer system absent of all additional proteins both in the producer and in the transduced cell.

15 As described above, the packaging components for a retroviral vector include expression products of *gag*, *pol* and *env* genes. In addition, efficient packaging depends on a short sequence of 4 stem loops followed by a partial sequence from *gag* and *env* (the "packaging signal"). Thus, inclusion of a deleted *gag* sequence in the retroviral vector genome (in addition to the full *gag* sequence on the packaging  
20 construct) will optimise vector titre. To date efficient packaging has been reported to require from 255 to 360 nucleotides of *gag* in vectors that still retain *env* sequences, or about 40 nucleotides of *gag* in a particular combination of splice donor mutation, *gag* and *env* deletions. It has surprisingly been found that a deletion of all but the N-terminal 360 or so nucleotides in *gag* leads to an increase in vector titre. Thus,  
25 preferably, the retroviral vector genome includes a *gag* sequence which comprises one or more deletions, more preferably the *gag* sequence comprises about 360 nucleotides derivable from the N-terminus.

Once within the cell, the RNA genome from a retroviral vector particle is reverse  
30 transcribed into DNA and integrated into the DNA of the recipient cell.

As used herein the term "vector system" also includes a vector particle capable of transducing a recipient cell with an NOI.

- 5 The retroviral delivery system and vectors of the present invention are useful for the delivery of one or more NOIs to cells *in vivo* and *in vitro*, in particular the delivery of therapeutically active NOI(s). One or more selected NOI(s) may be incorporated in the vector genome for expression in the target cell. The NOI(s) may have one or more expression control sequences of their own, or their expression may be controlled by the vector LTRs. For appropriate expression of the NOI(s), a promoter may be
- 10 included in or between the LTRs which is preferentially active under certain conditions or in certain cell types. The NOI may be a sense sequence or an antisense sequence. Furthermore, if there is a plurality of NOIs then those NOIs may be sense sequences or antisense sequences or combinations thereof.
- 15 The retroviral vector genome of the present invention may generally comprise LTRs at the 5' and 3' ends, one or more NOI(s) including therapeutically active genes and/or marker genes, or suitable insertion sites for inserting one or more NOI(s), and a packaging signal to enable the genome to be packaged into a vector particle in a producer cell. There may even be suitable primer binding sites and integration sites to
- 20 allow reverse transcription of the vector RNA to DNA, and integration of the proviral DNA into the target cell genome. In a preferred embodiment, the retroviral vector particle has a reverse transcription system (compatible reverse transcription and primer binding sites) and an integration system (compatible integrase and integration sites).
- 25 Thus, in accordance with the present invention, it is possible to manipulate the viral genome or the retroviral vector nucleotide sequence, so that viral genes are replaced or supplemented with one or more NOIs. The NOI(s) may be any one or more of selection gene(s), marker gene(s) and therapeutic gene(s). Many different selectable markers have been used successfully in retroviral vectors. These are reviewed in
- 30 "Retroviruses" (1997 Cold Spring Harbour Laboratory Press Eds: JM Coffin, SM Hughes, HE Varmus pp 444) and include, but are not limited to, the bacterial neomycin and hygromycin phosphotransferase genes which confer resistance to G418



and hygromycin respectively; a mutant mouse dihydrofolate reductase gene which confers resistance to methotrexate; the bacterial *gpt* gene which allows cells to grow in medium containing mycophenolic acid, xanthine and aminopterin; the bacterial *hisD* gene which allows cells to grow in medium without histidine but containing histidinol; 5 the multidrug resistance gene (*mdr*) which confers resistance to a variety of drugs; and the bacterial genes which confer resistance to puromycin or phleomycin. All of these markers are dominant selectable and allow chemical selection of most cells expressing these genes.

- 10 The expression products encoded by the NOIs may be proteins which are secreted from the cell. Alternatively the NOI expression products are not secreted and are active within the cell. For some applications, it is preferred for the NOI expression product to demonstrate a bystander effect or a distant bystander effect; that is the production of the expression product in one cell leading to the modulation of 15 additional, related cells, either neighbouring or distant (e.g. metastatic), which possess a common phenotype.

The NOIs may be operatively linked to one or more promoter/enhancer elements. Transcription of one or more NOI may be under the control of viral LTRs or 20 alternatively promoter-enhancer elements can be engineered in with the transgene. Preferably the promoter is a strong promoter such as CMV. The promoter may be a regulated promoter. The promoter may be tissue-specific. Suitable promoters include the hypoxia response element (HRE) which promotes gene expression under low oxygen conditions.

25

NOIs

In the present invention, preferably the EOI is one or more NOIs (nucleotide sequences of interest) – wherein said NOIs may be delivered to a target cell *in vivo* or 30 *in vitro*.

If the vector system of the present invention is a viral vector system, then it is possible to manipulate the viral genome so that viral genes are replaced or supplemented with one or more NOIs which may be heterologous NOIs.

- 5 The term "heterologous" refers to a nucleic acid or protein sequence linked to a nucleic acid or protein sequence to which it is not naturally linked.

- In the present invention, the term NOI includes any suitable nucleotide sequence, which need not necessarily be a complete naturally occurring DNA or RNA sequence.
- 10 Thus, the NOI can be, for example, a synthetic RNA/DNA sequence, a recombinant RNA/DNA sequence (i.e. prepared by use of recombinant DNA techniques), a cDNA sequence or a partial genomic DNA sequence, including combinations thereof. The sequence need not be a coding region. If it is a coding region, it need not be an entire coding region. In addition, the RNA/DNA sequence can be in a sense orientation or in
- 15 an anti-sense orientation. Preferably, it is in a sense orientation. Preferably, the sequence is, comprises, or is transcribed from cDNA.

- The NOI may encode a protein of interest ("POI"). In this way, the vector delivery system could be used to examine the effect of expression of a foreign gene on the
- 20 target cell (such as an epithelial cell). For example, the retroviral delivery system could be used to screen a cDNA library for a particular effect on the a cell of the respiratory system or of the brain, motor neuron or CSF.

- For example, one could identify new survival/neuroprotective factors for epithelial
- 25 cells, which would enable transfected cells to persist in the presence of an apoptosis-inducing factor.

- In accordance with the present invention, the NOI can be a therapeutic gene - in the sense that the gene itself may be capable of eliciting a therapeutic effect or it may code
- 30 for a product that is capable of eliciting a therapeutic effect.

In accordance with the present invention, suitable NOIs include those that are of therapeutic and/or diagnostic application such as, but not limited to: sequences encoding cytokines, chemokines, hormones, antibodies, anti-oxidant molecules, engineered immunoglobulin-like molecules, a single chain antibody, fusion proteins, enzymes, immune co-stimulatory molecules, immunomodulatory molecules, anti-sense RNA, a transdominant negative mutant of a target protein, a toxin, a conditional toxin, an antigen, a tumour suppresser protein and growth factors, membrane proteins, vasoactive proteins and peptides, anti-viral proteins and ribozymes, and derivatives thereof (such as with an associated reporter group).

Suitable NOIs for the treatment of retinopathies (such as age-related macular degeneration and proliferative diabetic retinopathy) include angiostatic proteins.

The NOIs may also encode pro-drug activating enzymes, cytotoxic agents and enzyme inhibitors.

Examples of prodrugs include but are not limited to etoposide phosphate (used with alkaline phosphatase; 5-fluorocytosine (with cytosine deaminase); Doxorubin-N-p-hydroxyphenoxyacetamide (with Penicillin-V-Amidase); Para-N-bis (2-chloroethyl)aminobenzoyl glutamate (with Carboxypeptidase G2); Cephalosporin nitrogen mustard carbamates (with B-lactamase); SR4233 (with p450 reductase); Ganciclovir (with HSV thymidine kinase); mustard pro-drugs with nitroreductase and cyclophosphamide or ifosfamide (with cytochrome p450).

The expression products encoded by the NOIs may be proteins which are secreted from the cell. Alternatively the NOI expression products are not secreted and are active within the cell. In either event, it is preferred for the NOI expression product to demonstrate a bystander effect or a distant bystander effect; that is the production of the expression product in one cell leading to the killing of additional, related cells, either neighbouring or distant (e.g. metastatic), which possess a common phenotype.

The NOI or its expression product may act to modulate the biological activity of a compound or a pathway. As used herein the term "modulate" includes for example enhancing or inhibiting biological activity. Such modulation may be direct (e.g. including cleavage of, or competitive binding of another substance to a protein) or  
5 indirect (e.g. by blocking the initial production of a protein).

The NOI may be capable of blocking or inhibiting the expression of a gene in the target cell. For example, the NOI may be an antisense sequence. The inhibition of gene expression using antisense technology is well known.

10

The NOI or a sequence derived therefrom may be capable of "knocking out" the expression of a particular gene in the target cell. There are several "knock out" strategies known in the art. For example, the NOI may be capable of integrating in the genome of an epithelial cell so as to disrupt expression of the particular gene. The NOI  
15 may disrupt expression by, for example, introducing a premature stop codon, by rendering the downstream coding sequence out of frame, or by affecting the capacity of the encoded protein to fold (thereby affecting its function).

Alternatively, the NOI may be capable of enhancing or inducing ectopic expression of  
20 a gene in the target cell. The NOI or a sequence derived therefrom may be capable of "knocking in" the expression of a particular gene.

In one preferred embodiment, the NOI encodes a ribozyme. Ribozymes are RNA molecules that can function to catalyse specific chemical reactions within cells without  
25 the obligatory participation of proteins. For example, group I ribozymes take the form of introns which can mediate their own excision from self-splicing precursor RNA. Other ribozymes are derived from self-cleaving RNA structures which are essential for the replication of viral RNA molecules. Like protein enzymes, ribozymes can fold into secondary and tertiary structures that provide specific binding sites for substrates  
30 as well as cofactors, such as metal ions. Examples of such structures include hammerhead, hairpin or stem-loop, pseudoknot and hepatitis delta antigenomic ribozymes have been described.

Each individual ribozyme has a motif which recognises and binds to a recognition site in a target RNA. This motif takes the form of one or more "binding arms" but generally two binding arms. The binding arms in hammerhead ribozymes are the flanking sequences Helix I and Helix III which flank Helix II. These can be of variable length, usually between 6 to 10 nucleotides each, but can be shorter or longer. The length of the flanking sequences can affect the rate of cleavage. For example, it has been found that reducing the total number of nucleotides in the flanking sequences from 20 to 12 can increase the turnover rate of the ribozyme cleaving a HIV sequence, by 10-fold (Goodchild, JVK, 1991 Arch Biochem Biophys 284: 386-391). A catalytic motif in the ribozyme Helix II in hammerhead ribozymes cleaves the target RNA at a site which is referred to as the cleavage site. Whether or not a ribozyme will cleave any given RNA is determined by the presence or absence of a recognition site for the ribozyme containing an appropriate cleavage site.

15

Each type of ribozyme recognizes its own cleavage site. The hammerhead ribozyme cleavage site has the nucleotide base triplet GUX directly upstream where G is guanine, U is uracil and X is any nucleotide base. Hairpin ribozymes have a cleavage site of BCUGNYR, where B is any nucleotide base other than adenine, N is any nucleotide, Y is cytosine or thymine and R is guanine or adenine. Cleavage by hairpin ribozymes takes places between the G and the N in the cleavage site.

20

More details on ribozymes may be found in "Molecular Biology and Biotechnology" (Ed. RA Meyers 1995 VCH Publishers Inc p831-8320 and in "Retroviruses" (Ed. JM Coffin et al 1997 Cold Spring Harbour Laboratory Press pp 683).

25

Expression of the ribozyme may be induced in all cells, but will only exert an effect in those in which the target gene transcript is present.

Alternatively, instead of preventing the association of the components directly, the substance may suppress the biologically available amount of a polypeptide of the invention. This may be by inhibiting expression of the component, for example at the

30

level of transcription, transcript stability, translation or post-translational stability. An example of such a substance would be antisense RNA or double-stranded interfering RNA sequences which suppresses the amount of mRNA biosynthesis.

5 In another preferred embodiment, the NOI comprises an siRNA. Post-transcriptional gene silencing (PTGS) mediated by double-stranded RNA (dsRNA) is a conserved cellular defence mechanism for controlling the expression of foreign genes. It is thought that the random integration of elements such as transposons or viruses causes the expression of dsRNA which activates sequence-specific degradation of  
10 homologous single-stranded mRNA or viral genomic RNA. The silencing effect is known as RNA interference (RNAi). The mechanism of RNAi involves the processing of long dsRNAs into duplexes of 21-25 nucleotide (nt) RNAs. These products are called small interfering or silencing RNAs (siRNAs) which are the sequence-specific mediators of mRNA degradation. In differentiated mammalian cells dsRNA >30bp has  
15 been found to activate the interferon response leading to shut-down of protein synthesis and non-specific mRNA degradation. However this response can be bypassed by using 21nt siRNA duplexes allowing gene function to be analysed in cultured mammalian cells.

20 In one embodiment an RNA polymerase III promoter, e.g., U6, whose activity is regulated by the presence of tetracycline may be used to regulate expression of the siRNA.

In another embodiment the NOI comprises a micro-RNA. Micro-RNAs are a very  
25 large group of small RNAs produced naturally in organisms, at least some of which regulate the expression of target genes. Founding members of the micro-RNA family are *let-7* and *lin-4*. The *let-7* gene encodes a small, highly conserved RNA species that regulates the expression of endogenous protein-coding genes during worm development. The active RNA species is transcribed initially as an ~70nt precursor,  
30 which is post-transcriptionally processed into a mature ~21nt form. Both *let-7* and *lin-4* are transcribed as hairpin RNA precursors which are processed to their mature forms by Dicer enzyme.

In a further embodiment the NOI comprises double-stranded interfering RNA in the form of a hairpin. The short hairpin may be expressed from a single promoter, e.g., U6. In an alternative embodiment an effective RNAi may be mediated by incorporating two promoters, e.g., U6 promoters, one expressing a region of sense and the other the reverse complement of the same sequence of the target. In a further embodiment effective or double-stranded interfering RNA may be mediated by using two opposing promoters to transcribe the sense and antisense regions of the target from the forward and complementary strands of the expression cassette.

10

In another embodiment the NOI may encode a short RNA which may act to redirect splicing ('exon-skipping') or polyadenylation or to inhibit translation.

The NOI may also be an antibody. As used herein, "antibody" includes a whole immunoglobulin molecule or a part thereof or a bioisostere or a mimetic thereof or a derivative thereof or a combination thereof. Examples of a part thereof include: Fab, F(ab)'<sub>2</sub>, and Fv. Examples of a bioisostere include single chain Fv (ScFv) fragments, chimeric antibodies, bifunctional antibodies.

Transduced target cells which express a particular gene, or which lack the expression of a particular gene have applications in drug discovery and target validation. The expression system could be used to determine which genes have a desirable effect on target cells, such as those genes or proteins which are able to prevent or reverse the triggering of apoptosis in the cells. Equally, if the inhibition or blocking of expression of a particular gene is found to have an undesirable effect on the target cells, this may open up possible therapeutic strategies which ensure that expression of the gene is not lost.

The present invention may therefore be used in conjunction with disease models, such as disease models for respiratory tract infections including cystic fibrosis or models for eye diseases such as age-related macular degeneration or proliferative diabetic retinopathy which are known to those skilled in the art.

An NOI delivered by the vector delivery system may be capable of immortalising the target cell. A number of immortalisation techniques are known in the art (see for example Katakura Y et al (1998) Methods Cell Biol. 57:69-91).

5

An NOI delivered by the vector delivery system may be a selection gene, or a marker gene. Many different selectable markers have been used successfully in retroviral vectors. These are reviewed in "Retroviruses" (1997 Cold Spring Harbour Laboratory Press Eds: JM Coffin, SM Hughes, HE Varmus pp 444) and include, but are not limited to, the bacterial neomycin and hygromycin phosphotransferase genes which confer resistance to G418 and hygromycin respectively; a mutant mouse dihydrofolate reductase gene which confers resistance to methotrexate; the bacterial *gpt* gene which allows cells to grow in medium containing mycophenolic acid, xanthine and aminopterin; the bacterial *hisD* gene which allows cells to grow in medium without histidine but containing histidinol; the multidrug resistance gene (*mdr*) which confers resistance to a variety of drugs; and the bacterial genes which confer resistance to puromycin or phleomycin. All of these markers are dominant selectable and allow chemical selection of most cells expressing these genes.

20 The term "mimetic" relates to any chemical which may be a peptide, polypeptide, antibody or other organic chemical which has the same binding specificity as the antibody.

The term "derivative" as used herein includes chemical modification of an antibody.  
25 Illustrative of such modifications would be replacement of hydrogen by an alkyl, acyl, or amino group.

## DISEASES

30 The vector system used in the present invention is particularly useful in treating and/or preventing a disease which is associated with the death or impaired function of cells of the respiratory tract, lung, liver, skin, eye or any epithelial cells. Thus, the vector



system is useful in treating and/or preventing diseases including respiratory tract diseases and epithelial cell cancers.

5 Diseases which may be treated include, but are not limited to: cystic fibrosis, epitheliomas and retinopathies.

Retinopathies include age-related macular degeneration and proliferative diabetic retinopathy. Both diseases are caused by inappropriate, unregulated and aberrant blood vessel growth (angiogenesis) as a consequence of angiogenic factor (VEGF).  
10 expression induced under hypoxic conditions in the retina.

#### PHARMACEUTICAL COMPOSITIONS

The present invention also provides the use of a vector delivery system in the  
15 manufacture of a pharmaceutical composition. The pharmaceutical composition may be used to deliver an EOI, such as an NOI, to a target cell in need of same.

The pharmaceutical composition may be used for treating an individual by gene therapy, wherein the composition comprises or is capable of producing a  
20 therapeutically effective amount of a vector system according to the present invention.

The method and pharmaceutical composition of the invention may be used to treat a human or animal subject. Preferably the subject is a mammalian subject. More preferably the subject is a human. Typically, a physician will determine the actual  
25 dosage which will be most suitable for an individual subject and it will vary with the age, weight and response of the particular patient.

The composition may optionally comprise a pharmaceutically acceptable carrier, diluent, excipient or adjuvant. The choice of pharmaceutical carrier, excipient or  
30 diluent can be selected with regard to the intended route of administration and standard pharmaceutical practice. The pharmaceutical compositions may comprise as (or in addition to) the carrier, excipient or diluent, any suitable binder(s), lubricant(s),

suspending agent(s), coating agent(s), solubilising agent(s), and other carrier agents that may aid or increase the viral entry into the target site (such as for example a lipid delivery system).

- 5 Where appropriate, the pharmaceutical compositions can be administered by any one or more of: inhalation, in the form of a suppository or pessary, topically in the form of a lotion, solution, cream, ointment or dusting powder, by use of a skin patch, orally in the form of tablets containing excipients such as starch or lactose, or in capsules or ovules either alone or in admixture with excipients, or in the form of elixirs, solutions  
10 or suspensions containing flavouring or colouring agents, or they can be injected parenterally, for example intracavernosally, intravenously, intramuscularly or subcutaneously. For parenteral administration, the compositions may be best used in the form of a sterile aqueous solution which may contain other substances, for example enough salts or monosaccharides to make the solution isotonic with blood. For buccal  
15 or sublingual administration the compositions may be administered in the form of tablets or lozenges which can be formulated in a conventional manner.

In a preferred embodiment, the pharmaceutical composition of the present invention is administered through inhalation or other non-parenteral method.

20

- Diseases which may be treated include, but are not limited to cancer, heart disease, stroke, cystic fibrosis, chronic pulmonary fibrosis, neurodegenerative disease, arthritis, viral infection, bacterial infection, parasitic infection, diseases of the immune system, viral infection, tumours, blood clotting disorders, and genetic diseases - such as  
25 chronic granulomatosis, Lesch-Nyhan syndrome, Parkinson's disease, empysema, phenylketonuria, sickle cell anaemia,  $\alpha$ -thalasemia,  $\beta$ -thalasemia, Gaucher disease.

- Target cells for gene therapy using retroviral vectors include but are not limited to epithelial cells and other cells such as haematopoietic cells, (including monocytes,  
30 macrophages, lymphocytes, granulocytes, or progenitor cells of any of these); endothelial cells, tumour cells, stromal cells, astrocytes, or glial cells, muscle cells, epithelial cells, neurons, fibroblasts, hepatocyte, astrocyte, and lung cells.

Within the retroviral vector of the present invention, the one or more NOIs can be under the transcriptional control of the viral LTRs. Alternatively, a combination of enhancer-promoter elements can be present in order to achieve higher levels of expression. The promoter-enhancer elements are preferably strongly active or capable of being strongly induced in the target cells. An example of a strongly active promoter-enhancer combination is a human cytomegalovirus (HCMV) major intermediate early (MIE) promoter/enhancer combination. The promoter-enhancer combination may be tissue or temporally restricted in their activity. Examples of a suitable tissue restricted promoter-enhancer combinations are those which are highly active in tumour cells such as a promoter-enhancer combination from a MUC1 gene or a CEA gene.

Hypoxia or ischaemia regulatable expression may also be particularly useful under certain circumstances. Hypoxia is a powerful regulator of gene expression in a wide range of different cell types and acts by the induction of the activity of hypoxia-inducible transcription factors such as hypoxia inducible factor-1 (HIF-1) (Wang and Semenza 1993 PNAS. (USA) 90: 430) which bind to cognate DNA recognition sites, the hypoxia responsive elements (HREs) on various gene promoters. A multimeric form of HRE from the mouse phosphoglycerate kinase-1 (PGK-1) gene has been used to control expression of both marker and therapeutic genes by human fibrosarcoma cells in response to hypoxia *in vitro* and within solid tumours *in vivo* (Firth *et al* 1994, PNAS 91(14): 6496-6500; Dachs *et al* 1997 Nature Med. 5: 515).

In retinopathies, where damage has occurred in hypoxic regions due to VEGF expression, the use of HRE which promotes gene expression in low oxygen conditions can be used to target gene expression in retinal cells.

Alternatively, the fact that glucose deprivation is also present in ischaemic areas of tumours can be used to activate heterologous gene expression especially in tumours. A truncated 632 base pair sequence of the grp 78 gene promoter, known to be activated specifically by glucose deprivation, has been shown to be capable of driving

high level expression of a reporter gene in murine tumours *in vivo* (Gazit *et al* 1995 Cancer Res. 55: 1660.).

## BRIEF DESCRIPTION OF THE FIGURES

5

The present invention will now be described by way of example only, and with reference to the following Figures - in which:

Figure 1 presents a schematic diagram of a retroviral genome.

10

Figure 2 shows influenza M2 augments influenza HA pseudotyping of EIAV vectors but does not enhance VSV-G pseudotyping. The SIN-6.1CZW vector was pseudotyped with VSV-G or FPV HA in transfection reactions containing the indicated amounts of the FPV M2 expression plasmid pCB6 M2. Producer cells were  
15 treated with bacterial neuraminidase. The viral vectors were used to transduce 293T cells and 48 hours post-transduction the  $\beta$ -Gal activity in cell lysates was measured. M indicates mock transfected controls.

Figure 3 shows synergism of M2 and NA for influenza HA pseudotyping of EIAV  
20 lacZ vector. The SIN-6.1CZW vector was pseudotyped with FPV HA in transfection reactions containing or lacking the FPV M2 expression plasmid pCB6 M2. Producer cells were either treated or untreated with bacterial neuraminidase. Vector preparations were used to transduce 293T cells and 48 hours post-transduction the  $\beta$ -gal activity in cell lysates was measured.

25

Figure 4 shows gene transfer to human 293T cells by HA pseudotyped EIAV lacZ vector produced in the presence or absence of M2 expression and NA treatment. The SIN-6.1CZW vector was pseudotyped with FPV HA in transfection reactions containing or lacking the FPV M2 expression plasmid pCB6 M2. Producer cells were  
30 either treated or untreated with bacterial neuraminidase. Vector preparations were used to transduce 293T cells and 48 hours post-transduction the cells were fixed with glutaraldehyde and stained with X-Gal.

Figure 5 shows amantadine inhibits the augmentation of HA pseudotyping by M2. The SIN-6.1CZW vector was pseudotyped in transfection reactions with FPV HA and either wild type FPV M2 or the amantadine-resistant I27S mutant of M2 (Top panel) or VSV-G (Bottom panel). The 293T cells were treated for 12 hours prior to transfection with the indicated amounts of amantadine. Amantadine was maintained in cultures at the indicated concentrations during vector production. Viral vector preparations were harvested and used to transduce 293T cells. At 48 hours post-transduction the  $\beta$ -gal activity in cell lysates was measured.

10

Figure 6 shows the role of M2 during HA-mediated ELAV vector gene transfer. The SIN-6.1CZW vector was pseudotyped in transfection reactions with FPV HA/M2 or VSV-G in the absence of amantadine. Vector preparations were used to transduce 293T cells in the presence of the indicated concentrations of amantadine. The 293T cells had been treated with the indicated concentrations of amantadine for 12 hours prior to transduction. At 48 hours post-transduction the  $\beta$ -gal activity in cell lysates was measured.

Figure 7 shows concentration of the HA pseudotyped SIN-6.1CZW vector by pelleting using centrifugation. The SIN-6.1CZW ELAV vector was pseudotyped with influenza HA and concentrated by pelleting in a high-speed centrifuge (6000 x g, 24 hours). The vector pellet was dissolved in a volume 1000-fold less than the volume before centrifugation. Either 1  $\mu$ l or 3  $\mu$ l of the concentrated vector stock was diluted to 1ml to give final concentrations of 1X or 3X, respectively, and used to infect human 293T cells. Cells were stained with X-Gal 72 hr post-transduction.

Figure 8 shows a comparison of the use of influenza NA cDNA vs. bacterial NA enzyme to treat producer cells. The SIN-6.1CZW ELAV vector was pseudotyped with influenza HA/M2 using increasing amounts of bacterial neuraminidase (NA) (*Vibrio cholerae*, Calbiochem-Novabiochem Corporation, LaJolla, CA) (Top panel) or increasing amounts of influenza NA cDNA (Bottom panel) to release bound HA-pseudotyped vector from producer cells. Viral vector preparations were harvested and

30

used to transduce 293T cells. At 48 hours post-transduction the  $\beta$ -gal activity in cell lysates was measured.

Figure 9 shows the host range of HA and VSV-G pseudotyped EIAV vectors. The  
5 SIN-6.1CZW EIAV vector was pseudotyped with influenza HA/M2/NA or VSV-G and used to transduce various cell lines at 30-50% confluency using serially diluted virus stocks. At 48 hours post-infection, the cells were stained with X-Gal and the titre was determined by counting blue foci.

10 Figure 10 shows enhancement of HA pseudotyping of HIV-1 and EIAV lentiviral vectors, and MuLV retroviral vectors by M2 and NA. The SIN-6.1CZW EIAV vector or the pLenti6/V5-GW/lacZ (Invitrogen Corporation, Carlsbad, CA) HIV-1 lentiviral vectors (Top panel) or the HIT-SIN-CZ Moloney murine leukemia virus (MuLV) vector (Bottom panel) (Wilcox *et al.* (1999) *Proc Natl Acad Sci U S A* 96: 9654-9)  
15 were pseudotyped with HA in the presence or absence of influenza M2 and/or M2 and used to infect 293T cells. At 48 hours post-transduction the  $\beta$ -gal activity in cell lysates was measured.

Figure 11 shows gene transfer of HA-pseudotyped EIAV lacZ vector to polarized  
20 Madin-Darby Canine Kidney (MDCK) cells. The apical (AP) or basolateral (BL) surface of polarized MDCK cells ( $R_t > 800 \Omega \text{ cm}^2$ ) on  $0.4 \mu\text{m}$  T-Col membranes was exposed to an EIAV lacZ vector (SIN-6.1CZW) pseudotyped with VSV-G or influenza HA/M2/NA at a multiplicity of infection (MOI) of 10. The cultures were stained with X-Gal 72 hours post-transduction.

25

Figure 12 shows gene transfer to polarized MDCK cells: Time course of gene expression by HA and VSV-G pseudotyped EIAV lacZ vectors. The SIN-6.1CZW EIAV vector was pseudotyped with either influenza HA/M2/NA or VSV-G envelope and used to transduce the apical or basolateral surface of polarized MDCK cells grown  
30 on permeable collagen-coated membrane supports. The resistance of the cultures was  $> 800 \text{ ohm} \cdot \text{cm}^2$  at the time of infection. Lysates for determining  $\beta$ -galactosidase activity were prepared at the indicated times following infection.

Figure 13 shows gene transfer to uninjured mouse trachea by HA pseudotyped EIAV lacZ vector. Double tracheotomies were performed on 3-week-old anesthetized mice (n=3).  $4 \times 10^6$  infectious units (20  $\mu$ l) of the EIAV SIN-6.1CZW (HA/M2) vector ( $2 \times 10^8$  infectious units/ml) was instilled into the proximal tracheostomy (Estimated MOI = 10). The vector dwell time was 2 hr. The animals were sacrificed 96 hr post-infection. Tracheas were removed and stained with X-Gal for histochemical analysis. Top panels, en face views of X-Gal stained tracheas opened longitudinally. The control mouse was instilled with vehicle. All three mice transduced with the SIN-6.1CZW vector showed similar levels of X-Gal staining. Bottom panels, histological sections counterstained with nuclear fast red. The airway lumen is to the top of the panel. All of the X-Gal staining was confined to the surface epithelium.

Figure 14 shows gene transfer to mouse trachea by VSV-G pseudotyped EIAV vector requires injury. 3-week-old mice in the control group (n=3) were exposed to air while mice in the SO<sub>2</sub> group (n=3) were exposed to 500 ppm SO<sub>2</sub> for three hours in inhalation chambers as previously described (Johnson *et al.* (1998) *J Virol* 72: 8861-72). Double tracheotomies were performed on anesthetized mice within 30-60 minutes following SO<sub>2</sub> exposure.  $8 \times 10^7$  infectious units (20  $\mu$ l) of the VSV-G pseudotyped EIAV lacZ vector ( $4 \times 10^9$  infectious units/ml) was instilled into the proximal tracheostomy of all six mice (Estimated MOI = 10). The vector dwell time was 2 hr. The animals were sacrificed 96 hr post-infection. Tracheas were removed and stained with X-Gal for histochemical analysis. Top panels, en face views of X-Gal stained tracheas opened longitudinally. All three mice in each group showed similar levels of X-Gal staining. Bottom panels, histologic sections counterstained with nuclear fast red. The airway lumen is at the top of the panel. All of the X-Gal staining was confined to the surface epithelium.

Figure 15 shows dose-response of VSV-G pseudotyped EIAV gene transfer to mouse trachea. En face images of X-Gal stained tracheas from the experiments shown in Figs 12 and 13 and from similar experiments were captured by a camera. The % surface area X-Gal positive was estimated by measuring the area of cells staining X-gal

positive compared to the total area of the trachea exposed to the vector using the Metamorph image analysis system.

## EXAMPLES

5

### **Example 1 - Pseudotyping with influenza HA alone.**

Equine infectious anemia virus (EIAV) retroviruses were pseudotyped with influenza HA. Transfections were carried out in the human kidney cell line 293T (as described in Soneoka *et al.*, 1995) to produce the vector virions. The efficiency of pseudotyping was studied by assessing the viral titres for these pseudotyped vectors. The resulting viral titres for EIAV pseudotyped with influenza HA were relatively low.

### **Example 2 - Pseudotyping with influenza HA and M2**

We investigated whether gene transfer efficiency could be improved by expression of the influenza M2 protein in cells producing vectors derived from equine infectious anemia virus (EIAV).

The effect of fowl plague virus (FPV) M2 expression on HA pseudotyped EIAV vector production was tested.

20

First, vectors were prepared by transient transfection of 293T cells.

293T cells (obtained from Tal Kafri, University of North Carolina) were maintained in Dulbecco's modified Eagle's medium (DMEM-H, Gibco/Invitrogen Life Technologies, Carlsbad, CA) containing 10% (v/v) fetal calf serum. Transient transfections were performed on 293T cells by using a modified calcium phosphate technique (Graham, F. L., and van der Eb, A. J. (1973) *Virology* 52: 456-67) as described previously (Comstock *et al.* (1997) *Methods Mol Biol* 62: 207-22).

The transfection reactions contained four plasmids including: the EIAV lacZ vector SIN- 6.1 CZW, the EIAV gag-pol-rev expression vector pEV53B (Olsen, J. C. (1998) *Gene Ther* 5: 1481-7), the FPV HA expression pCMV- HA (Hatzioannou *et al.*

30



(1998) *J Virol* 72: 5313-7), and various amounts of the FPV M2 expression vector pCB6-M2 (Henkel, J. R., and Weisz, O. A. (1998) *J Biol Chem* 273: 6518-24).

After overnight transfection, the medium was changed to DMEM-H containing 7  
5 milliunits bacterial neuraminidase (*Vibrio cholerae*, Calbiochem-Novabiochem Corporation, LaJolla, CA) per ml. The HA pseudotyped SIN6.1CZW vector-containing supernatant was harvested from the cells 24 hours later and filtered through 0.45  $\mu$ m filters.

10 To determine relative transduction efficiency, 293T cells were plated onto 12-well culture dishes at a density of  $4.4 \times 10^4$  cells/cm<sup>2</sup> the day before infection. One ml of medium (containing appropriate dilutions of the original virus stock) was added to each well with 8  $\mu$ g/ml polybrene (Sigma Chemical Co., St. Louis Missouri) and incubated for two hours. The virus was removed and replaced with growth medium. At  
15 48 hours after infection, the medium was removed, cells washed once with 1 ml PBS and cell lysates (200 $\mu$ l) were prepared for assay of  $\beta$ -galactosidase activity using a chemiluminescence assay kit (Galacto-Light Plus, Tropix, Bedford, MA). The lysates were prepared and assayed according to the manufacture's recommendations. The concentration of cellular protein was determined by using a BCA Protein Assay  
20 Reagent Kit (Pierce, Rockford, IL).

Figure 2 shows the effect of expression of FPV M2 cDNA in EIAV vector producing cells. Expression of M2 greatly improved HA-vector production in a dose-dependent manner. At the highest dose (5  $\mu$ g) of M2 expression plasmid used, a 30-fold increase  
25 in vector production was observed. In control experiments, M2 had no pseudotyping activity alone.

In parallel transfection reactions the effect of M2 expression on VSV-G pseudotyped vector production was determined (Figure 2). It was found that M2 expression did not  
30 enhance VSV-G pseudotyping. Thus the effect of FPV M2 to augment FPV HA pseudotyping is not due to non-specific effects on transfection efficiency.

### **Example 3 - Synergism of M2 expression and NA treatment**

The requirements for NA treatment of producer cells in the presence or absence of M2 expression were determined (Figure 3). In the absence of NA, only a low level of vector was produced as determined by measuring  $\beta$ -gal activity in lysates of transduced cells (Figure 3) or by staining transduced cells with X-Gal (Figure 4). The titres of SIN-6.1CZW(HA) produced in the absence of NA, as determined by X-Gal staining were about  $10^2$  TU/ml. NA treatment alone increased vector production approximately 25-fold. Both NA treatment and M2 expression resulted in a 750-fold increase in vector production (Figure 3).

10

### **Example 4 - Amantadine inhibits M2 augmentation of vector production**

To confirm the role of M2 in HA pseudotyping, we produced vectors in the presence of amantadine, which acts specifically to inhibit the ion channel activity of influenza M2. (Wang *et al.* (1993) *J Virol* 67: 5585-94). At micromolar concentrations, amantadine has been shown to be an effective inhibitor of influenza virus replication in cell culture (Neumayer *et al.* (1965) *Proc Soc Exp Med Biol* 119: 393-396).

15

The effect of amantadine on SIN-6.1CZW(HA) EIAV vector production was tested. Various concentrations were added to vector producing cells and these concentrations were maintained on transduced cells until vector was harvested for determination of transduction efficiency.

20

It was found that amantadine had a potent dose-dependent effect on vector production (Figure 5, top panel). Amantadine inhibited M2 augmented vector product by 70% at a concentration of 5  $\mu$ M.

25

The specificity of amantadine inhibition was tested in two ways.

First, the effect of amantadine on VSV-G pseudotyping was determined. It was found that for concentrations of 5  $\mu$ M to 50  $\mu$ M, amantadine did not affect pseudotyping of SIN-6.1CZW by VSV-G (Figure 5, bottom panel). VSV replication has previously been shown to be refractory to amantadine inhibition.

30

In a second approach, the effect of amantadine on an M2 mutant carrying a single amino acid change, I27S, was tested. The I27S mutation is thought to affect the interaction of amantadine with the M2 protein (Sansom *et al.* (1998) *Bioessays* 20: 992-1000) and results in amantadine-resistant M2 ion channel activity (Hay *et al.* (1985) *EMBO J* 4: 3021-4). It was found that in comparison to wild-type M2, EIAV vector production in cells expressing the I27S M2 was significantly more resistant to inhibition by amantadine (Figure 5, top panel). These results suggest that the ion channel activity of M2 is critical for M2 augmentation of HA pseudotyping.

10

#### **Example 5 - Role of M2 during HA-mediated EIAV vector gene transfer**

To determine if M2 has a role early after infection by EIAV vectors, the SIN-6.1CZW(HA) vector was produced by transient transfection in the presence of M2 and the absence of amantadine. The vector was then used to transduce 293T cells that had been pre-incubated with various concentrations of amantadine. As a control, VSV-G pseudotyped vector was produced and used in parallel infections.

The results of this experiment are shown in Figure 6. It was found that amantadine affected the gene transfer step of the HA pseudotyped vector, but did not affect gene transfer by the VSV-G pseudotyped vector. Higher doses were required to inhibit transduction than to inhibit vector production (compare Figures 5 and 6). Nevertheless, the amantadine sensitivity suggests that the ion channel activity of M2 plays a role during transduction of target cells.

#### **Example 6 - Concentration of influenza HA pseudotyped retroviral vectors**

An important consideration for the use of pseudotyped EIAV vectors in gene transfer experiments for *in vivo* applications is the ability to concentrate vectors. Thus, we have investigated whether we can increase the viral titre by concentrating the viral supernatant using ultracentrifugation (Burns *et al.*, 1993 PNAS 90:8033-8037).

30

It was determined that the infectivity of M2-enhanced HA pseudotyped EIAV vectors could be recovered after pelleting in an ultracentrifuge.

5 In this experiment, 500 ml of SIN-6.1CZW(HA)-containing supernatant from a four plasmid (SIN-6.1CZW(HA)/EV53B/FPV HA/CB6 M2) co-transfection of 293T cells was concentrated by centrifugation in a high-speed centrifuge (6000 x g, 24 hours). The pellet was suspended in 0.5 ml of 1X Hank's Balanced Salt Solution (HBSS) to achieve a 1000-fold concentration of virus particles. Either 1 µl or 3 µl of concentrated vector was diluted to 1 ml, to give final concentrations of 1X or 3X, respectively, and  
10 used to infect 293T cells.

Figure 7 shows 293T cells treated with unconcentrated or concentrated HA-pseudotyped SIN-6.1CZW and stained with 1mg/ml X-Gal 72 hr post-transduction. Cells were visualized using an Olympus inverted microscope at 20X power.

15

No loss of infectivity was observed following reconstitution of the concentrated stock to the original 1X dilution (1 µl concentrate diluted to 1 ml). Furthermore, the 3X concentrated virus (3 µl concentrate diluted to 1 ml) resulted in higher transduction efficiency suggesting that infectivity can be increased by concentrating the virus by  
20 pelleting using centrifugation techniques.

In the presence of M2, it was possible to produce vectors with titres greater than 10e5 transducing particles per ml with influenza HA/M2 pseudotyped EIAV. With ultracentrifugation, the titre was increased to greater than 10e8 transducing particles  
25 per ml.

The ability to concentrate vectors make pseudotyping with the influenza HA and a second membrane protein an attractive proposal for easy targeting of retroviral vectors carrying any therapeutic gene to a broad range of cells.

30

### Example 7 - Expression of influenza NA cDNA in EIAV vector producing cells

In the experiments described above and in previously published studies, bacterial NA was added to producer cells to enable release of virus particles by preventing the interaction of HA with cell-associated sialic acid.

5

Figure 8 (Top panel) shows a dose-titration of added purified bacterial NA enzyme on vector production. The SIN-6.1CZW EIAV vector was pseudotyped with influenza HA/M2 using increasing amounts of bacterial neuraminidase (NA) (*Vibrio cholerae*, Calbiochem-Novabiochem Corporation, LaJolla, CA). Optimal vector production was observed when vector-producing cells were treated with 7 milliunits NA/ml serum-free cell culture medium.

10

It was tested whether vectors could be produced in cells expressing influenza NA cDNA. In this case an NA cDNA from influenza A/PR/8/34 (H1N1) was prepared by PCR amplification of the NA gene from purified virus and expressed from a plasmid expression vector (pEF-NA) during vector production.

15

The results in Figure 8 (Bottom panel) show that expression of influenza NA cDNA leads to a dose-dependent increase in vector production. Viral vector preparations were harvested and used to transduce 293T cells. At 48 hours post-transduction the  $\beta$ -gal activity in cell lysates was measured. At the highest levels of NA cDNA used, approximately two-fold higher vector titres were obtained than with the optimal levels of bacterial NA enzyme treatment.

20

### Example 8 - Host range of HA pseudotyped EIAV vectors

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The HA pseudotyped SIN-6.1CZW vector produced in cells expressing influenza M2 and NA cDNAs was used to measure the ability of HA env to transduce a variety of mammalian and avian cell lines.

30

The SIN-6.1CZW EIAV vector was pseudotyped with influenza HA/M2/NA or VSV-G and used to transduce various cell lines at 30-50% confluency using serially diluted

virus stocks. At 48 hours post-infection, the cells were stained with X-Gal and the titre was determined by counting blue foci.

5 The vector transduced cells were derived from primary chicken fibroblasts and mammalian cell lines derived from humans, rodents, horses and dogs (Figure 9). No obvious preference for cells from any species was observed.

10 Compared to VSV-G pseudotyped virus, the efficiency of transduction for a given cell line by HA pseudotyped virus was consistently 10-100 fold lower with the highest HA titres ( $>10^5$  infectious units/ml) observed on human 293T cells and canine MDCK cells.

**Example 9 - M2 augmentation of HA pseudotyped MuLV vectors and HA pseudotyped HIV vectors**

15 To determine if the M2 augmentation of pseudotyping was limited to equine lentiviral vectors or applied also to other retroviruses, the requirements for HA pseudotyping of vectors derived from MuLV and HIV-1 were determined.

20 The SIN-6.1CZW EIAV vector or the pLenti6/V5-GW/lacZ (Invitrogen Corporation, Carlsbad, CA) HIV-1 lentiviral vectors or the HIT-SIN-CZ Moloney murine leukemia virus (MuLV) vector (Wilcox *et al.* (1999) *Proc Natl Acad Sci U S A* 96: 9654-9) were pseudotyped with HA in the presence or absence of influenza M2 and/or M2 and used to infect 293T cells. At 48 hours post-transduction the  $\beta$ -gal activity in cell lysates was measured.

25

The results (Figure 10) indicated that HA-pseudotyped vector production was enhanced by M2 for MuLV and HIV-1 as with EIAV. In these experiments, the titres obtained for HA pseudotyping of EIAV and HIV lentiviral vectors in the presence of HA and NA were similar ( $\sim 10^5$  infectious units/ml) whereas, the titres obtained for pseudotyping the MuLV vector HIT-SIN-CZ were significantly higher ( $\sim 4 \times 10^6$  infectious units/ml).

30

**Example 10 - HA/M2 pseudotyped vectors enable efficient transduction of polarized MDCK cells and human airway epithelial cells**

The ability of HA pseudotyped vectors to transduce the apical side of polarized epithelia was tested. The apical side of polarized epithelia have proved difficult to  
5 transduce by other means.

The apical (AP) or basolateral (BL) surface of polarized Madin-Darby Canine Kidney (MDCK) cells (Resistance  $>800 \Omega \text{ cm}^2$ ) on  $0.4 \mu\text{m}$  collagen coated permeable membranes (Transwell-Col, Corning Inc., Corning, NY) was exposed to an EIAV lacZ  
10 vector (SIN-6.1CZW) pseudotyped with VSV-G or the influenza HA, M2, and NA membrane proteins at a multiplicity of infection (MOI) of 10. The cultures were stained with X-Gal 96 hours post-transduction. The HA-pseudotyped vector was found to preferentially transduce polarized MDCK cells from the apical surface (Figure 11). In contrast, EIAV pseudotyped with vesicular stomatitis virus protein G (VSV-G) only  
15 transduced from the basolateral surface.

The time course of lacZ gene expression was determined by quantifying  $\beta$ -gal activity in MDCK cell lysates using a chemiluminescence assay. The SIN-6.1CZW EIAV vector was pseudotyped with either influenza HA/M2/NA or VSV-G envelope and  
20 used to transduce the apical or basolateral surface of polarized MDCK cells grown on permeable collagen-coated membrane supports. The resistance of the cultures was  $>800 \text{ ohm} \cdot \text{cm}^2$  at the time of infection. Lysates for determining  $\beta$ -galactosidase activity were prepared at the indicated times following infection.

25 Figure 12 shows that the time course of gene expression was similar for both HA and VSV-G pseudotyped vectors exposed to the apical and basolateral surfaces, respectively.

**Example 11 - HA Pseudotyped EIAV lentiviral vector gene transfer to mouse trachea *in vivo***

30 Direct *in vivo* delivery to the trachea of mice was tested using the double tracheostomy technique for delivery to the tracheas of anesthetized mice (Johnson *et al.* (1998) *J*

*Virol* 72: 8861-72; Johnson *et al.* (2000) *Gene Ther* 7: 568-74).

For these experiments the HA pseudotyped EIAV SIN-6.1CZW vector was prepared by co-transfection of 293T cells with pSIN-6.1CZW, pEV53B, pCMV-HA, and pCB6-M2. Purified bacterial NA was used to treat vector-producing cells. The vector was  
5 concentrated by high-speed centrifugation to a titre of  $2 \times 10^8$  infectious units/ml. Double tracheotomies were performed on 3-week-old anesthetized mice ( $n=3$ ).  $4 \times 10^6$  infectious units (20  $\mu$ l) of the concentrated EIAV SIN-6.1CZW (HA/M2) vector was instilled into the proximal tracheostomy (Estimated multiplicity of infection (MOI) =  
10 10). The vector dwell time was 2 hr.

The mice were not subjected to treatments to injure or disrupt the integrity of the airway epithelium. Animals were sacrificed 96 hours after gene delivery and excised tracheas were stained for  $\beta$ -gal reporter gene expression with X-gal. It was found that  
15 significant transduction had occurred in the tracheas of animals inoculated with the HA pseudotyped EIAV SIN-6.1CZW vector (Figure 13). The control mouse was instilled with vehicle. All three mice transduced with the SIN-6.1CZW vector showed similar levels of X-Gal staining. The airway lumen is to the top of the panel. All of the X-Gal staining was confined to the surface epithelium.

20 In a control experiment, 3-week-old mice in the control group ( $n=3$ ) were exposed to air while mice in the SO<sub>2</sub> group ( $n=3$ ) were exposed to 500 ppm SO<sub>2</sub> for three hours in inhalation chambers as previously described (Johnson *et al.* (1998) *J Virol* 72: 8861-72) to injure the airway epithelium in order to expose the basolaterally located  
25 receptors for the VSV-G envelope.

Double tracheotomies were performed on anesthetized mice within 30-60 minutes following SO<sub>2</sub> exposure.  $8 \times 10^7$  infectious units (20  $\mu$ l) of the VSV-G pseudotyped EIAV lacZ vector ( $4 \times 10^9$  infectious units/ml) was instilled into the proximal  
30 tracheostomy of all six mice (Estimated MOI = 10). This dose is 20 fold higher than that used with HA pseudotyped EIAV as described above. The vector dwell time was 2



hr. The animals were sacrificed 96 hr post-infection. Tracheas were removed and stained with X-Gal for histochemical analysis.

With VSV-G pseudotyping very little gene delivery occurred to the airway epithelium  
5 in the absence of injury, however, significant gene delivery was achieved in animals exposed to SO<sub>2</sub> gas (Figure 14).

The dose response data of the *in vivo* gene delivery is summarized in Figure 15. The HA pseudotyped virus is much more efficient at delivering genes at low doses to the  
10 trachea than VSV-G pseudotyped virus.

The % surface area X-Gal positive was estimated by measuring the area of cells staining X-gal positive compared to the total area of the trachea exposed to the vector using the Metamorph image analysis system.

15

These results demonstrate significant gene transfer and expression in surface epithelial cells can be achieved using HA pseudotyped EIAV even though the animals were not injured or otherwise treated to disrupt the integrity of the airway epithelium. These results suggest that hybrid influenza/lentiviral vectors may be useful tools for gene  
20 transfer to airway epithelia.

All publications mentioned in the above specification are herein incorporated by reference. Various modifications and variations of the described methods and system  
25 of the invention will be apparent to those skilled in the art without departing from the scope and spirit of the invention. Although the invention has been described in connection with specific preferred embodiments, it should be understood that the invention as claimed should not be unduly limited to such specific embodiments. Indeed, various modifications of the described modes for carrying out the invention  
30 which are obvious to those skilled in molecular biology or related fields are intended to be within the scope of the following claims.

**SEQUENCE LISTING****SEQ ID NO. 1****AMINO ACID SEQUENCE OF INFLUENZA HA PROTEIN**

5 Genbank accession no 122886 (P03459)

1 mntqilvfal vaviptnadk iclghhavs n gtkvntlter gvevvnatet vertnipkic  
61 skgkrttdlg qcglgtitg ppqcdqflef sadliierre gndvcypgkf vneearqil  
10 121 rgsggidket mgftysgirt ngtsacrrs gssfyamew llsntdnasf pqmtksyknt  
181 rresalivwg ihhsgstteq tklygsgnkl itvgsskyhq sfvpspgtrp qingqsgrid  
241 fhwlildpnd tvtfsfngaf iapnrasflr gksmgisqsdv qvdancegec yhsngtitr  
301 lpfqninsra vgkcpvrykq esllatgmk nvpepskkre krglfgaiag fiengweglv  
361 dgwygfrhqn aqgegtady kstqsaidqi tgklnrlied tnqqfelidn eftevekqig  
15 421 nlinwtkd fi tevwsyna el lvamenqhti dladsemnkl yervrqlre naeedgtgcf  
481 eifhkcd ddc masirntyd hskyreeamq nriqidpvkl ssgykdvilw fsfgascfll  
541 laiavglvfi cvkngnmret ici

20

CLAIMS

1. A retroviral delivery system capable of transducing a target site, wherein the retroviral delivery system comprises a first nucleotide sequence coding for at least a  
5 part of an envelope protein; a second nucleotide sequence coding for at least a part of a second protein and one or more other nucleotide sequences derivable from a retrovirus that ensure transduction of the target site by the retroviral delivery system; wherein the first and second nucleotide sequences are heterologous with respect to at least one of the other nucleotide sequences; and wherein the first nucleotide sequence codes for at  
10 least a part of an influenza HA protein or a mutant, variant, derivative or fragment thereof that is capable of recognising the target site.
2. A retroviral delivery system according to claim 1 wherein the second protein is an influenza M2 protein.  
15
3. A retroviral delivery system according to claim 1 or 2 wherein the first nucleotide sequence codes for all of an influenza HA protein or a mutant, variant, derivative or fragment thereof.
- 20 4. A retroviral delivery system according to any one of claims 1 to 3 wherein at least one of the other nucleotide sequences is derivable from a lentivirus or an oncoretrovirus.
5. A retroviral delivery system according to any one of the preceding claims  
25 wherein the other nucleotide sequences are derivable from a lentivirus or an oncoretrovirus.
6. A retroviral delivery system according to any one of the preceding claims wherein the other nucleotide sequences are derivable from EIAV, HIV, FIV or MLV.  
30

7. A retroviral delivery system according to any one of the preceding claims wherein the retroviral delivery system comprises at least one NOI.
8. A retroviral delivery system according to claim 7 wherein the NOI has a  
5 therapeutic effect or codes for a protein that has a therapeutic effect.
9. A retroviral delivery system according to any one of the preceding claims wherein the target site is a cell.
- 10 10. A retroviral delivery system according to claim 9 wherein the target cell is a polarised epithelial cell.
11. A retroviral delivery system according to claim 9 or claim 10 wherein the target cell is a human airway epithelial cell.
- 15 12. A retroviral delivery system according to any of claims 1 to 11 further comprising neuraminidase.
13. A viral particle obtainable from the retroviral delivery system according to any  
20 one of the preceding claims.
14. A retroviral vector wherein the retroviral vector is the retroviral delivery system according to any one of claims 1 to 11 or is obtainable therefrom.
- 25 15. A cell transduced with a retroviral delivery system according to any one of claims 1 to 11, or a viral particle according to claim 13, or a retroviral vector according to claim 14.
- 30 16. A retroviral delivery system according to any one of claims 1 to 11, or a viral particle according to claim 13, or a retroviral vector according to claim 14, for use in medicine.

17. Use of a retroviral delivery system according to any one of claims 1 to 11, or a viral particle according to claim 13, or a retroviral vector according to claim 14, in the manufacture of a pharmaceutical composition to deliver a NOI to a target site in need of same.
- 5
18. A method comprising contacting a cell with a retroviral delivery system according to any one of claims 1 to 11, or a viral particle according to claim 13, or a retroviral vector according to claim 14.
- 10
19. A vector for preparing a retroviral delivery system according to any one of claims 1 to 11, or a viral particle according to claim 13, or a retroviral vector according to claim 14, wherein the vector comprises a nucleotide sequence coding for at least a part of the influenza HA protein or a mutant, variant, derivative or fragment thereof.
- 15
20. A plasmid for preparing a retroviral delivery system according to any one of claims 1 to 11, or a viral particle according to claim 13, or a retroviral vector according to claim 14, wherein the plasmid comprises a nucleotide sequence coding for at least a part of the influenza HA protein or a mutant, variant, derivative or fragment thereof.
- 20
21. A plurality of plasmids, wherein at least one plasmid is a plasmid according to claim 20 and wherein at least one other plasmid comprises one or more nucleotide sequences derivable from a retrovirus.
- 25
22. Use of an influenza HA protein and a second protein to pseudotype a retrovirus or a retroviral vector or a retroviral particle in order to affect the infectious profile of the retrovirus or the retroviral vector or the retroviral particle.
- 30
23. Use of an influenza HA protein and a second protein to pseudotype a retrovirus or a retroviral vector or a retroviral particle in order to affect the host range

and/or cell tropism of the retrovirus or the retroviral vector or the retroviral particle.

24. The use according to claim 22 or claim 23, wherein the second protein is an influenza M2 protein.
25. A retrovirus or a retroviral vector or a retroviral particle pseudotyped with an influenza HA protein and an influenza M2 protein.
26. A retroviral delivery system comprising a heterologous *env* region, wherein the heterologous *env* region comprises at least a part of a nucleotide sequence coding for an influenza HA protein and at least a part of a nucleotide sequence coding for a second protein.
27. A retroviral delivery system according to claim 26 wherein the second protein is an influenza M2 protein.
28. A retroviral delivery system comprising a heterologous *env* region, wherein the heterologous *env* region comprises a nucleotide sequence coding for an influenza HA protein and a nucleotide sequence coding for an influenza M2 protein.
29. A pseudotyped retrovirus or retroviral vector or retroviral particle substantially as described herein.

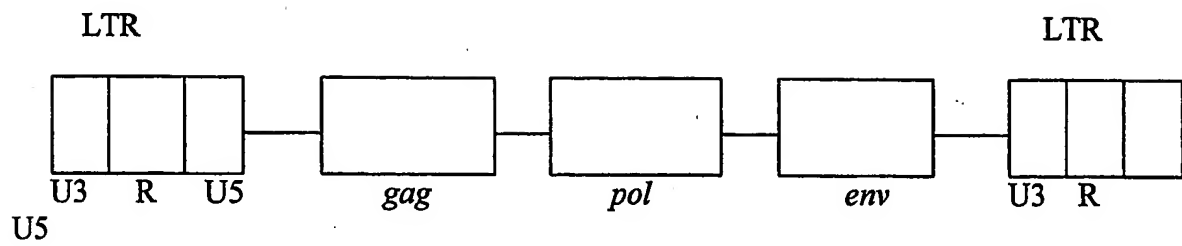
**Figure 1**

Figure 2. Influenza M2 augments influenza HA pseudotyping of EIAV vectors but does not enhance VSV-G pseudotyping

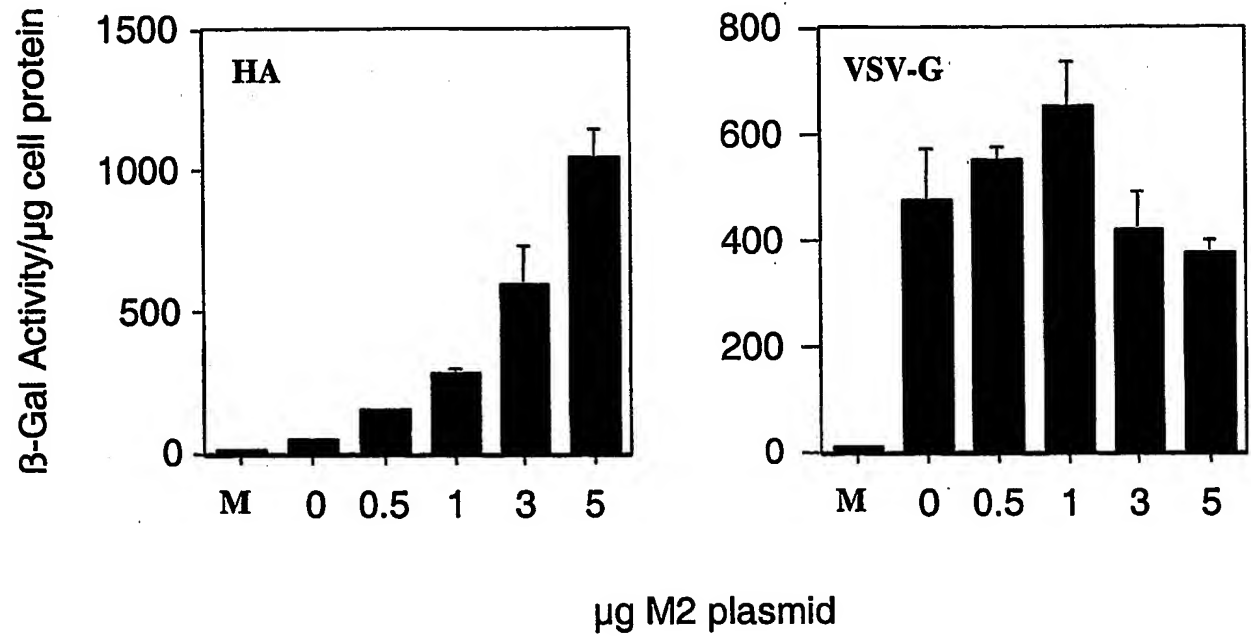




Figure 3. Synergism of M2 and NA for influenza HA pseudotyping of EIAV lac Z vector

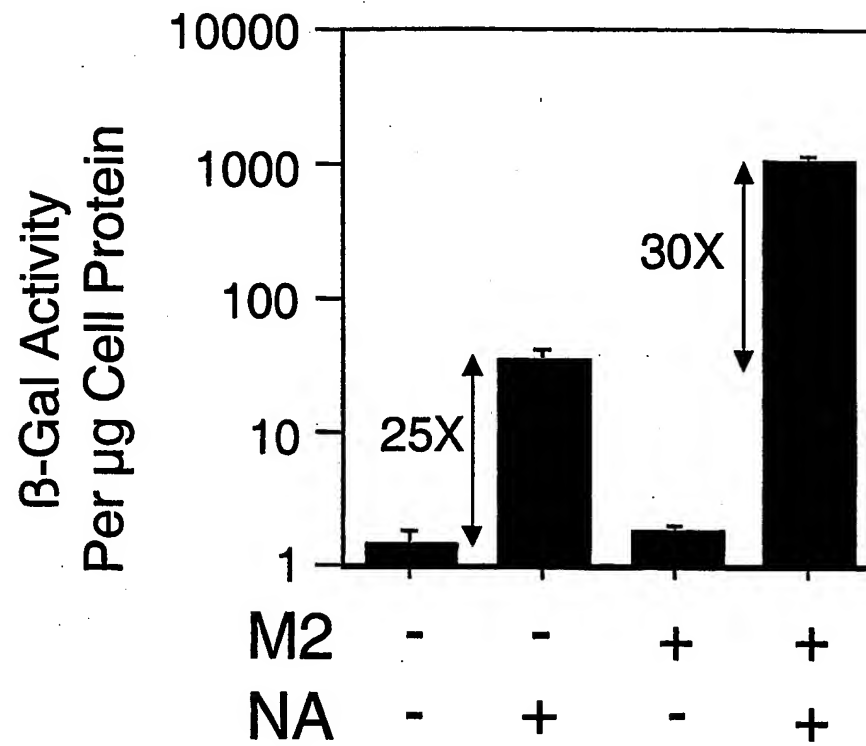


Figure 4. Gene transfer to human 293T cells by HA pseudotyped ELAV lacZ vector produced in the presence or absence of M2 expression and NA treatment.

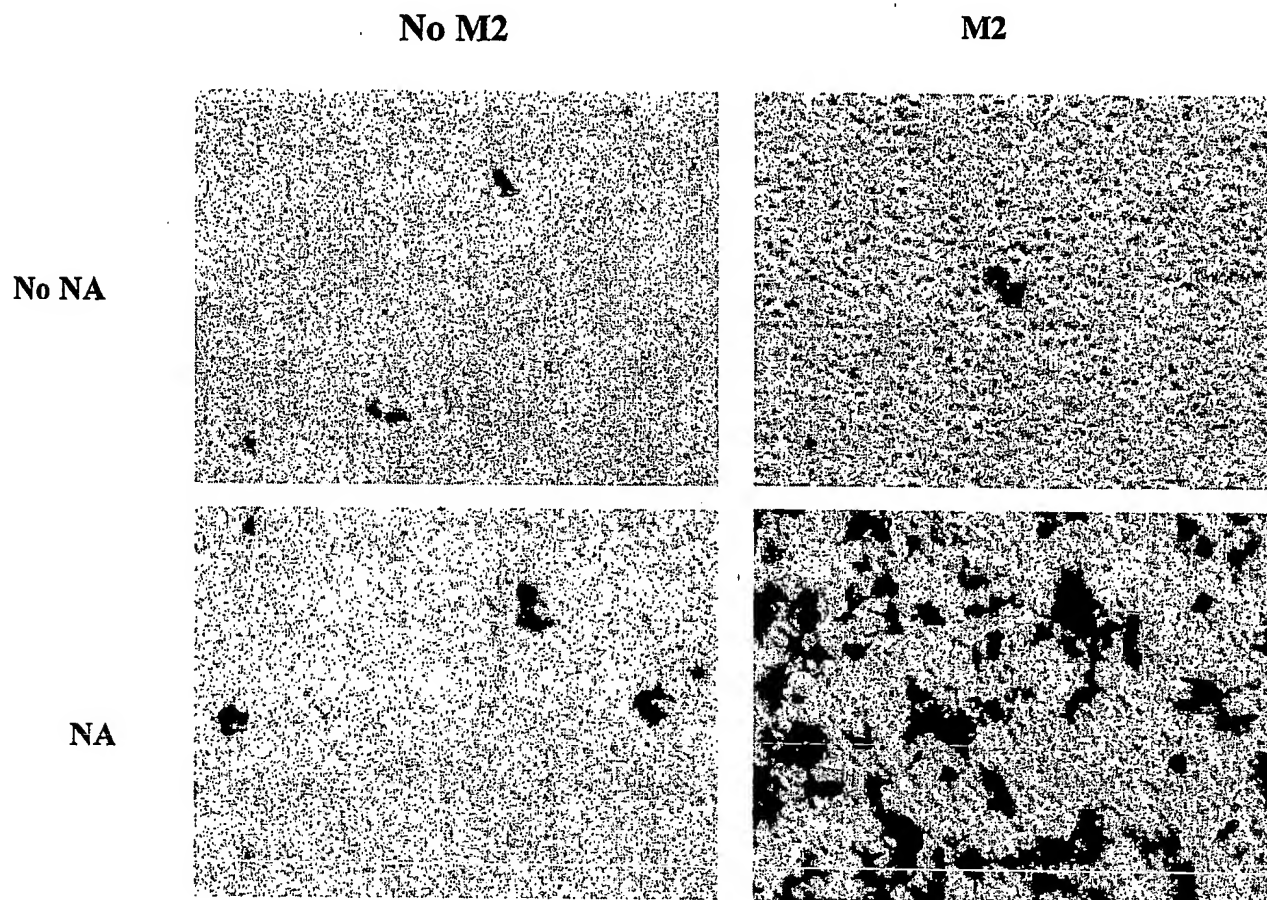


Figure 5. Amantadine inhibits the augmentation of HA pseudotyping by M2

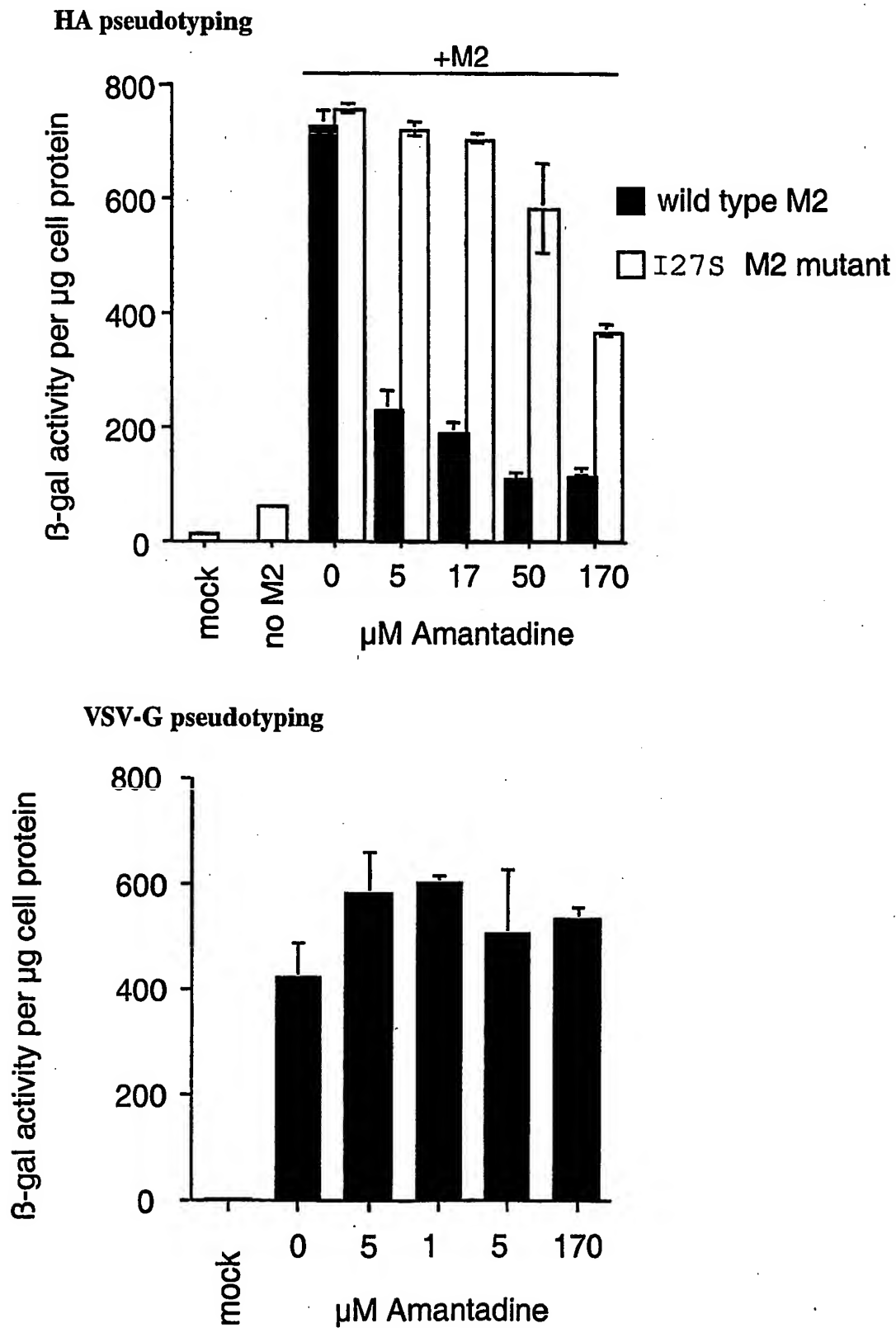


Figure 6. Role of M2 during HA-mediated EIAV vector gene transfer

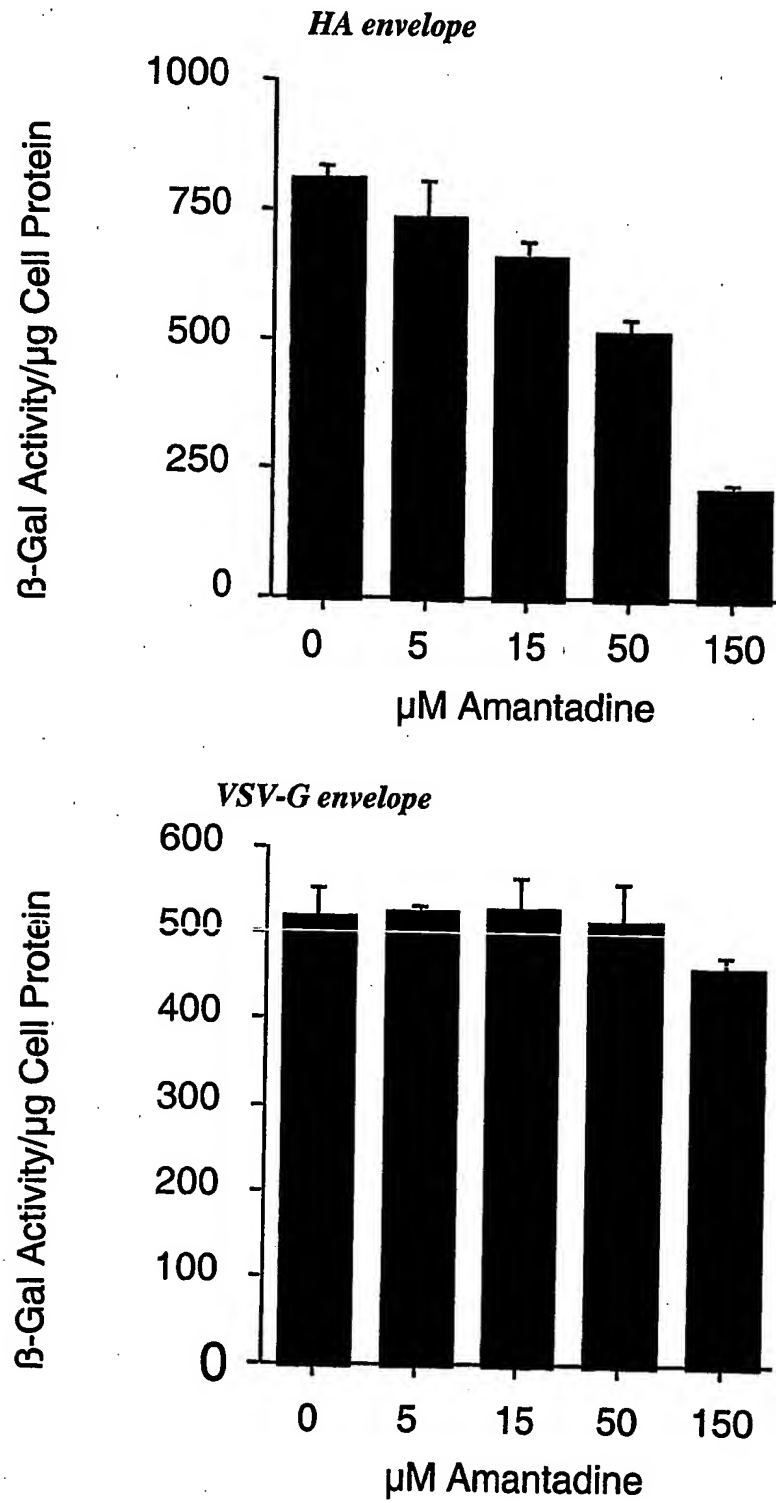


Figure 7. Concentration of UNC-SIN 6.1CZW (HA) by pelleting using centrifugation

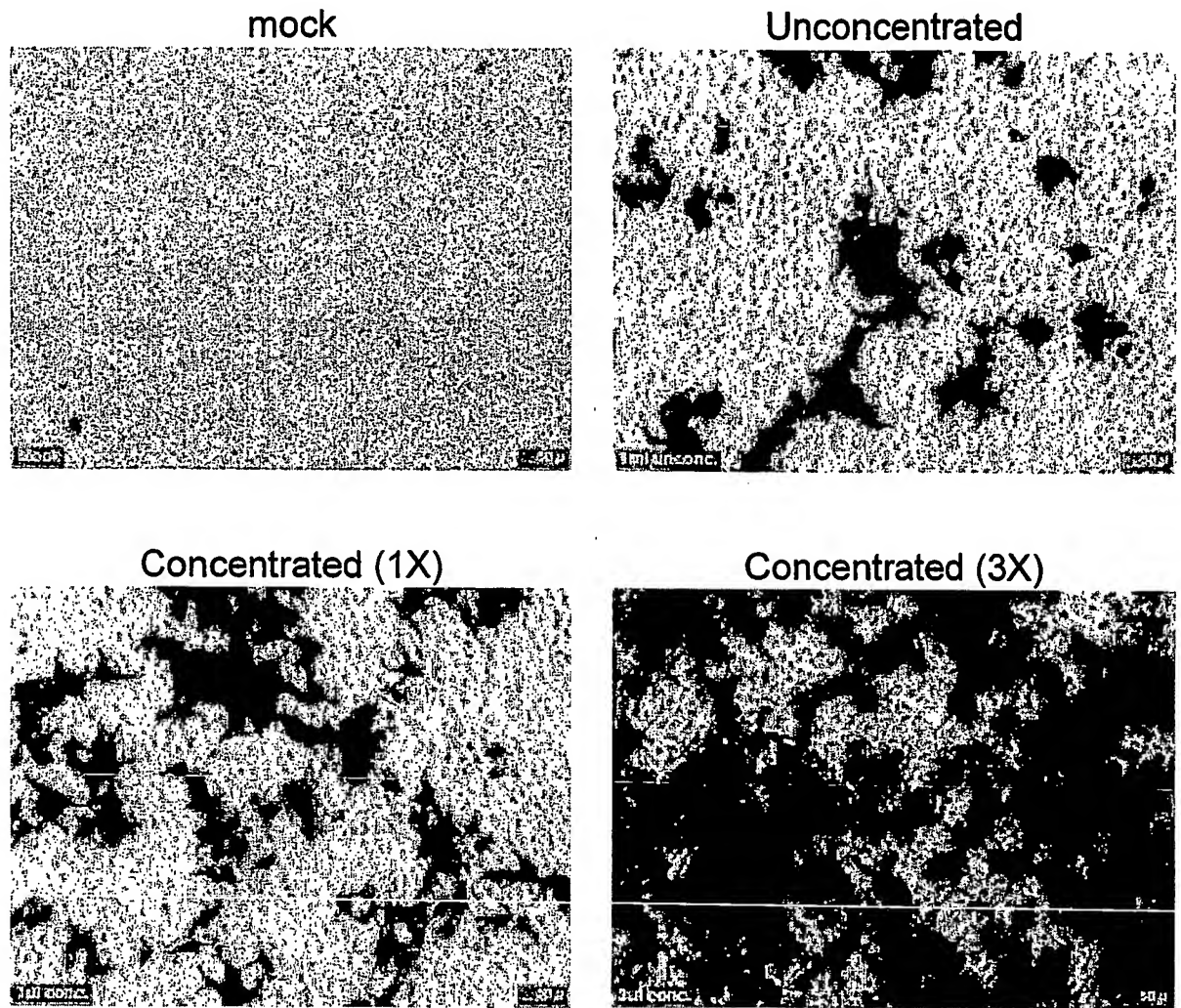


Figure 8. Comparing use of influenza NA cDNA vs bacterial NA enzyme

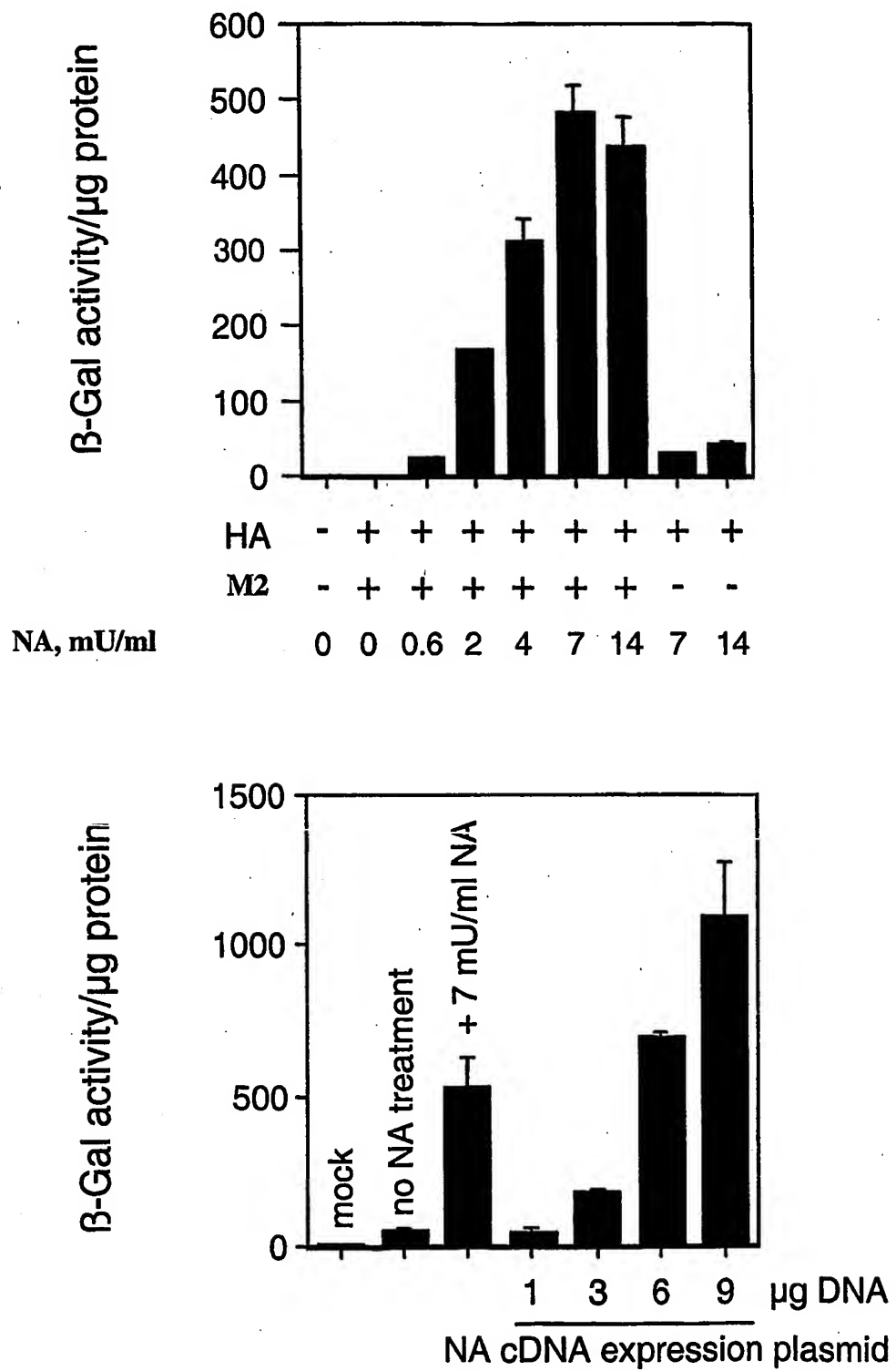
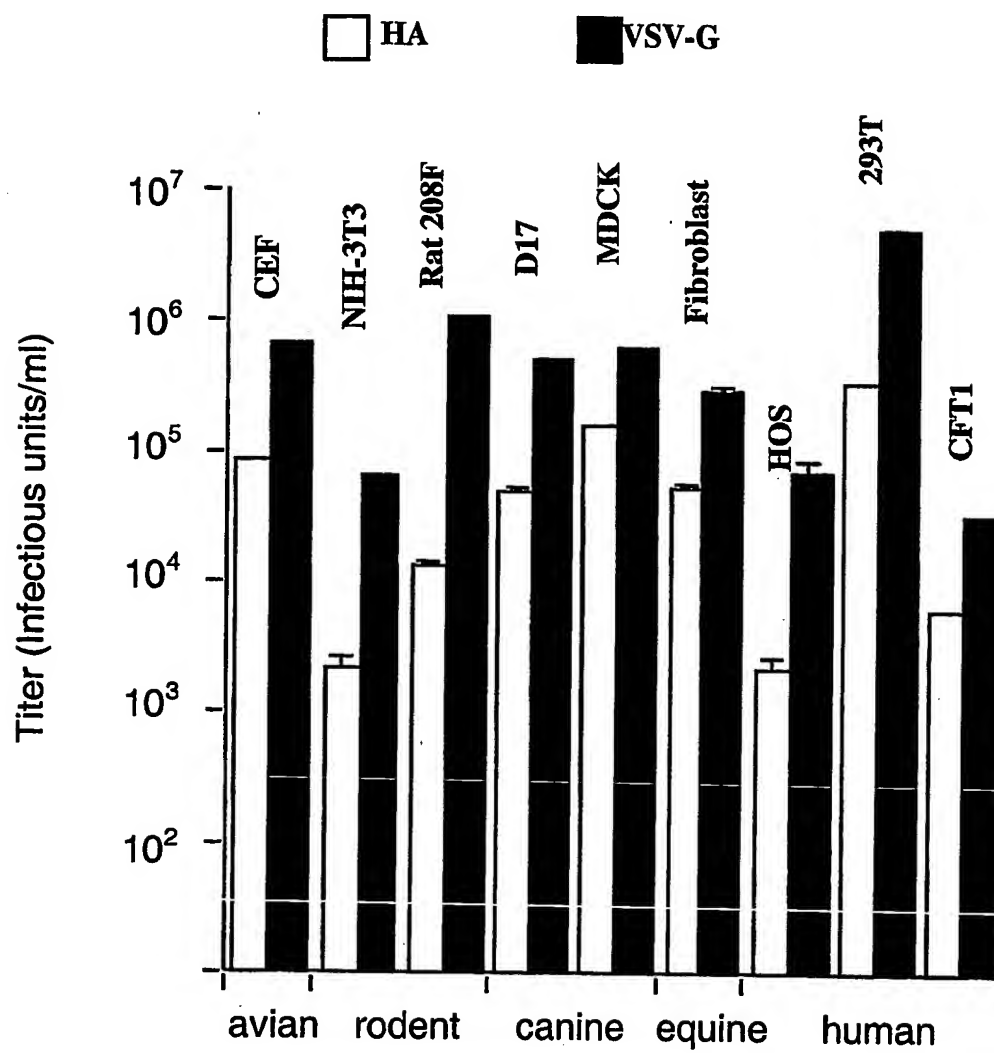
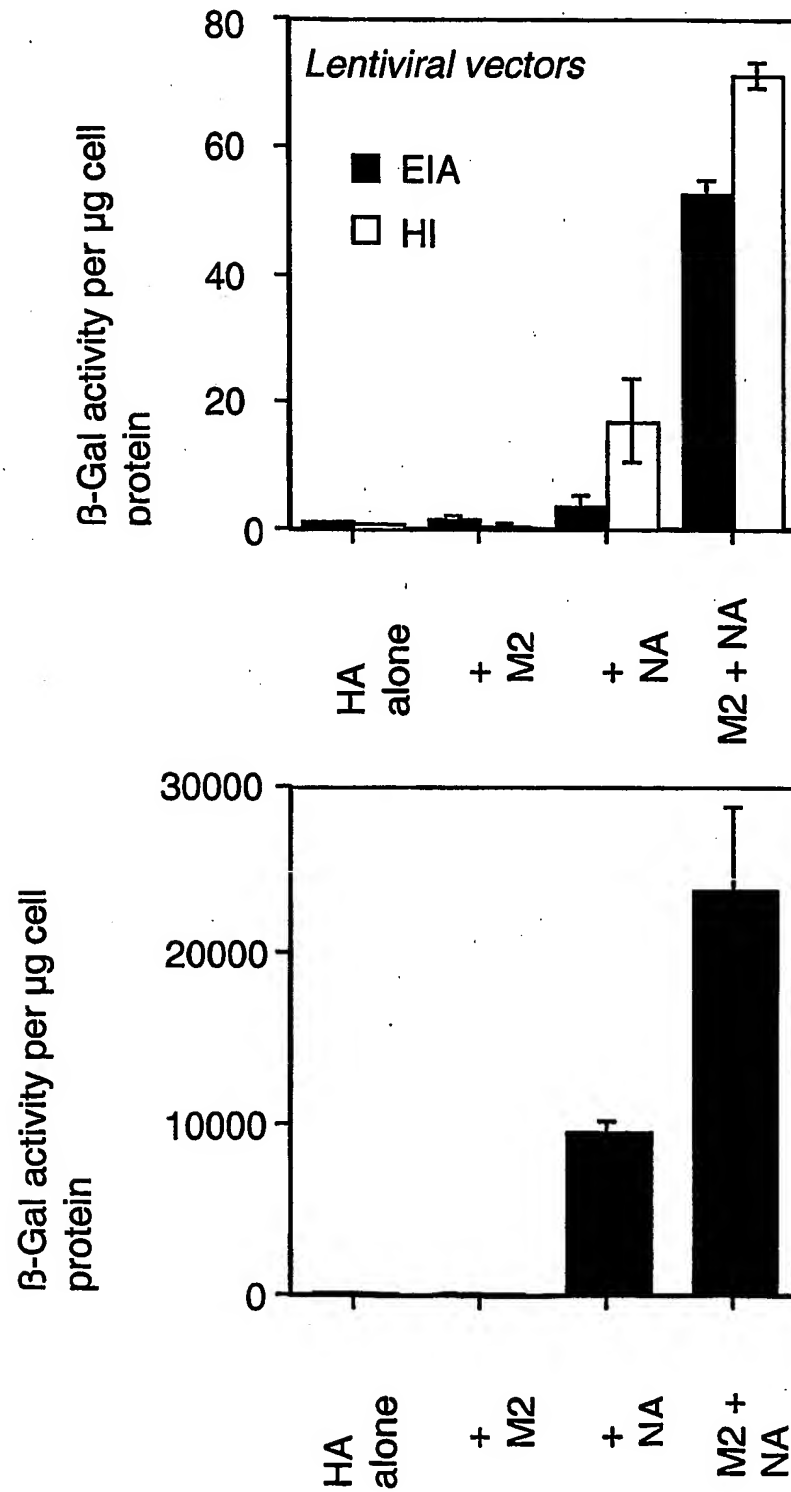


Figure 9. Host range of HA and VSV-G pseudotyped ELAV vectors



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Figure 10. Enhancement of HA pseudotyping of HIV-1 and EIAV lentiviral vectors, and MuLV retroviral vectors by M2 and NA.





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Figure 11. Gene transfer of HA-pseudotyped EIAV lacZ vector to polarized Madin-Darby Canine Kidney (MDCK) cells

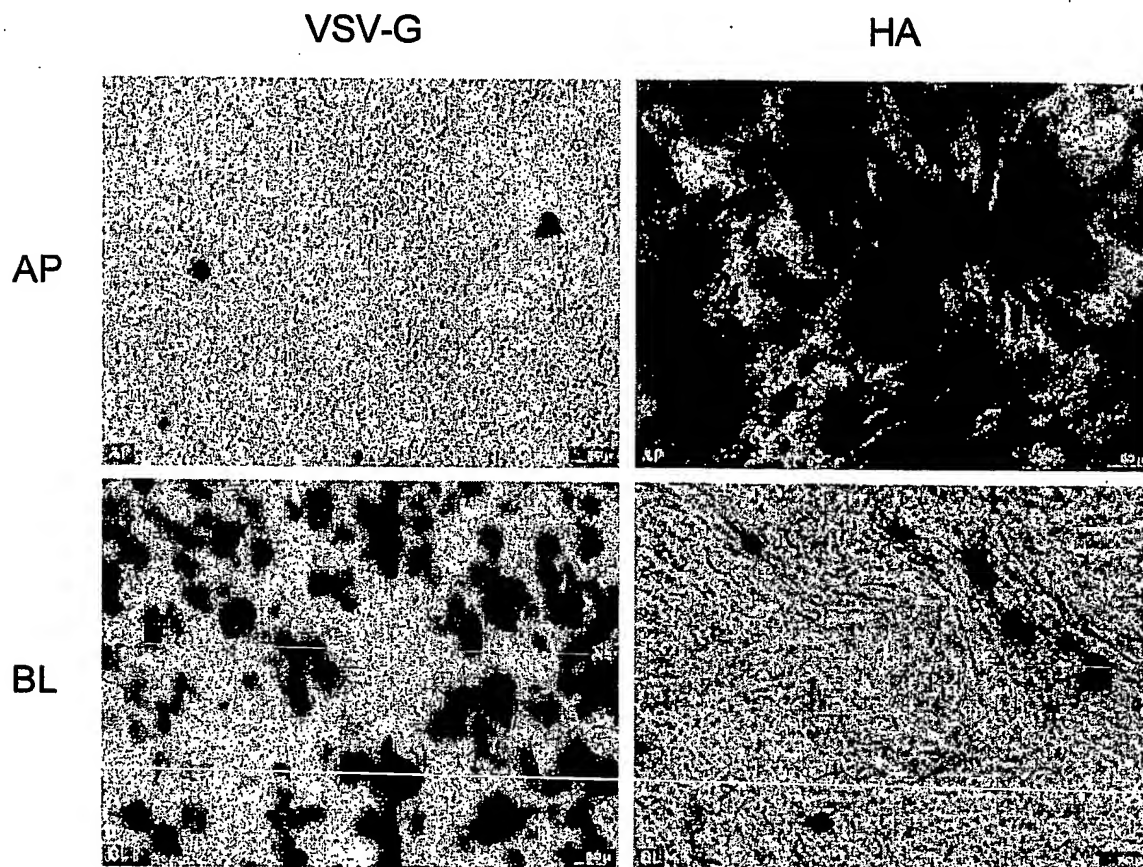


Figure 12. Gene transfer to polarized MDCK cells: Time course of gene expression by HA and VSV-G pseudotyped EIAV lacZ vectors

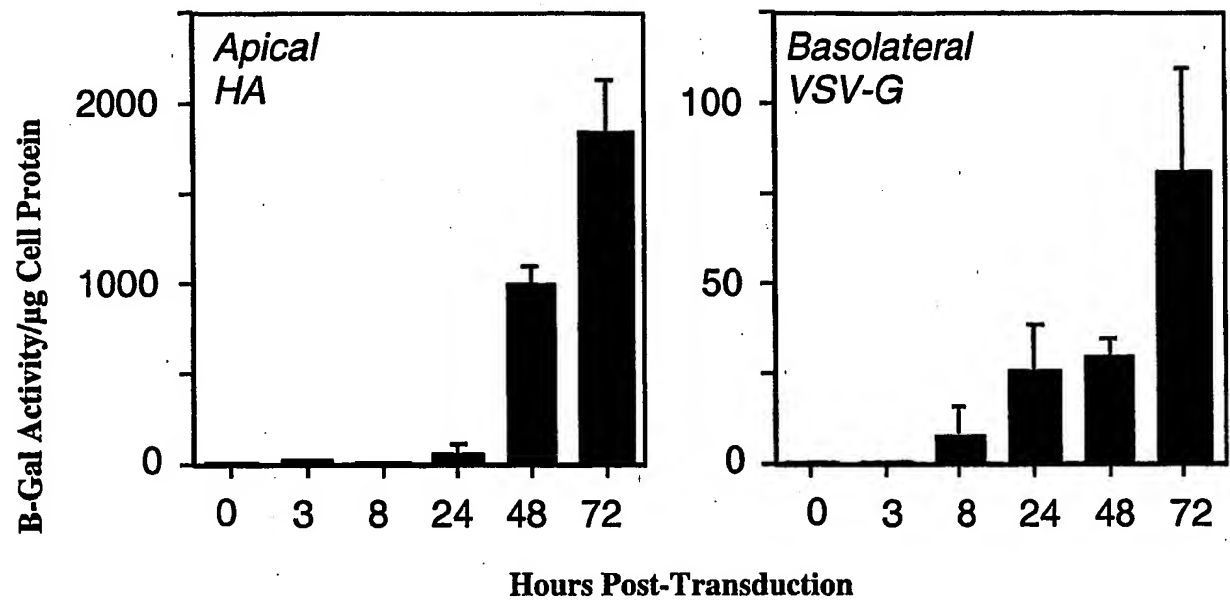
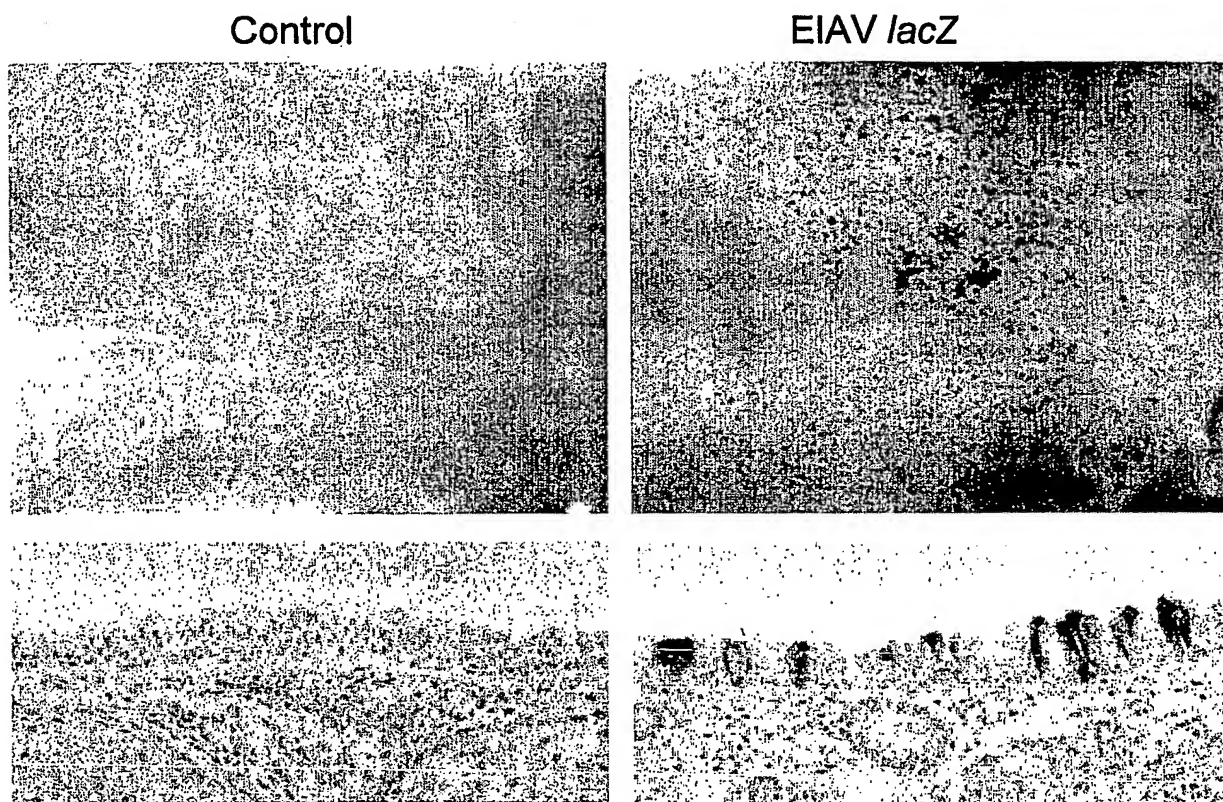
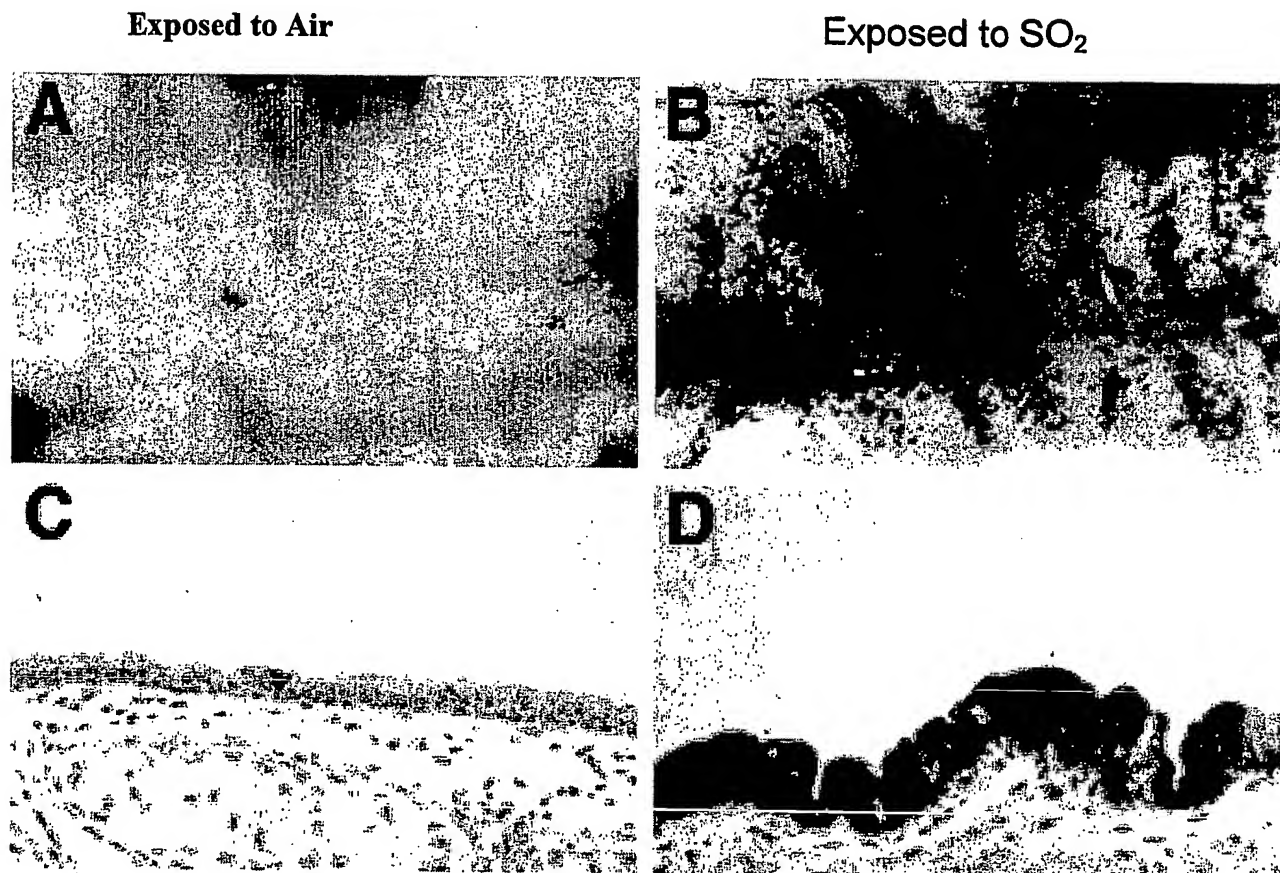


Figure 13. Gene transfer to uninjured mouse trachea by HA pseudotyped EIAV lacZ vector



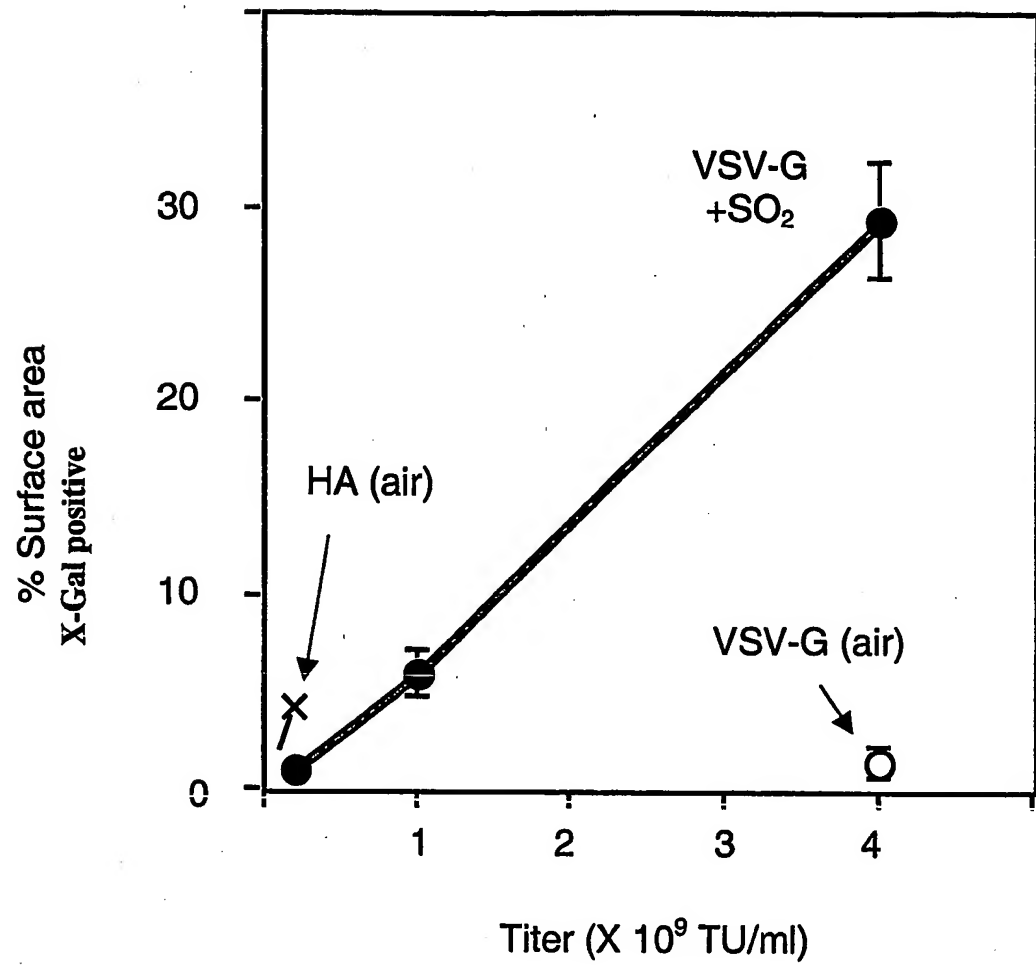
Dose/ mouse: 20 $\mu$ l of  $2 \times 10^8$  inf units/ml

Figure 14. Gene transfer to mouse trachea by VSV-G pseudotyped EIAV vector requires injury.



Dose/ mouse: 20 $\mu$ l of  $4 \times 10^9$  inf units/ml

Figure 15. Dose-response of VSV-G pseudotyped EIAV gene transfer to mouse trachea



A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C12N15/86 C12N5/10

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, BIOSIS, WPI Data, PAJ, EMBASE

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 99 13905 A (MIR SHEKARI YASAMIN ;UNIV PENNSYLVANIA (US); BATES PAUL (US)) 25 March 1999 (1999-03-25) page 10, line 27 -page 11, line 16 page 14, line 11-18 example 1	1,3-11, 13-23, 26,29
X	HATZIIOANNOU THEODORA ET AL: "Retroviral display of functional binding domains fused to the amino terminus of influenza hemagglutinin." HUMAN GENE THERAPY, vol. 10, no. 9, 10 June 1999 (1999-06-10), pages 1533-1544, XP002240867 ISSN: 1043-0342 the whole document	1,3-11, 13-23, 26,29

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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13 May 2003

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ALCONADA RODRIG..., A

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>MORRISON T ET AL: "RETROVIRAL EXPRESSED HEMAGGLUTININ-NEURAMINIDASE PROTEIN PROTECTS CHICKENS FROM NEWCASTLE DISEASE VIRUS INDUCED DISEASE" MICROBIAL PATHOGENESIS, vol. 9, no. 6, 1990, pages 387-396, XP008017050 ISSN: 0882-4010 the whole document</p>	<p>1,3-5, 7-9, 12-21,29</p>
X	<p>WO 01 92508 A (SAKAKIBARA HIROYUKI ;YONEMITSU YOSHIKAZU (JP); UEDA YASUJI (JP); D) 6 December 2001 (2001-12-06) -&amp; EP 1 291 419 A (DNAVEC RES INC) 12 March 2003 (2003-03-12) paragraphs '0005!,'0006!,'0027!,'0032!,'0038!,'0039!, '0055!,'0057!,'0058! example 16</p>	<p>1,3-11, 13-23, 26,29</p>
A	<p>SUN JIANGFENG ET AL: "Neuraminidase from a bacterial source enhances both HIV-1-mediated syncytium formation and the virus binding/entry process." VIROLOGY, vol. 284, no. 1, 25 May 2001 (2001-05-25), pages 26-36, XP002240869 ISSN: 0042-6822 the whole document</p>	<p>12</p>
A	<p>BOROK ZEA ET AL: "Vesicular stomatitis virus G-pseudotyped lentivirus vectors mediate efficient apical transduction of polarized quiescent primary alveolar epithelial cells." JOURNAL OF VIROLOGY, vol. 75, no. 23, December 2001 (2001-12), pages 11747-11754, XP002240868 ISSN: 0022-538X</p>	

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Although claim 18, as far as concerning an in vivo method, is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



Patent documents cited in search report		Publication date	Patent family members	Publication date
WO 9913905	A	25-03-1999	AU 9399498 A WO 9913905 A1 US 6416997 B1	05-04-1999 25-03-1999 09-07-2002
WO 0192508	A	06-12-2001	AU 6268401 A EP 1291419 A1 WO 0192508 A1	11-12-2001 12-03-2003 06-12-2001

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